

HEAT TRANSFER DURING FILM CONDENSATION IN TUBES AND ANNULI: A REVIEW OF THE LITERATURE

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ABSTRACT

This paper reviews the available information for estimation of heat transfer during film condensation in tubes and annuli. Emphasis is on those fluids which are used in air conditioning and refrigeration. These are ammonia, halocarbon refrigerants, and water. Tables are provided which summarize the available experimental data giving the range of important parameters covered in various studies. Among the topics covered are condensation of low velocity and high velocity vapors, effects of superheat and noncondensibles, effect of oil, effect of return bends, and interfacial phase change resistance. Predictive techniques and experimental data are critically reviewed and recommendations for design calculations are made. Information on further verification of the Shah correlation is also given.

INTRODUCTION

The purpose of this paper is to review the available information for the estimation of heat transfer during film condensation in plain tubes and annuli, with emphasis on fluids used in refrigeration and air conditioning systems. The refrigerants commonly used in vapor compression machines are ammonia and halocarbon refrigerants (freons). Carbon dioxide is no longer used in air conditioning but continues to be a refrigerant in the form of dry ice. Water is the refrigerant in absorption refrigeration machines of the lithium bromide-water type. It is also the refrigerant in steam jet ejector system which is still in limited use. Besides, water is extensively used in steam-heating systems. A variety of chemicals such as propane, isobutane, methyl chloride, and natural gas, are occasionally used in vapor compression machines. Thus design information and techniques are needed not only for the common refrigerants, but also for a wide variety of fluids. Therefore general predictive techniques have been given whenever possible.

The following topics are covered in this paper:

1. Condensation of stagnant and low velocity vapors
2. Condensation of high velocity vapors
3. Effect of vapor superheat
4. Effect of non-condensable gases
5. Effect of oil
6. Effect of return bends
7. Interfacial phase-change resistance

The available predictive techniques and experimental data on these topics have been reviewed. Design recommendations have been made wherever possible. Extensive references to experimental studies and data sources have been provided.

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ASHRAE Trans - 1981, Part I

EXPERIMENTAL STUDIES

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Numerous studies on condensation inside tubes have been carried out. Some of these are listed in Table 1 along with the range of parameters covered by each study. There have been comparatively few experiments on condensation in annuli. All the experimental studies known to this author are listed in Table 2. Furthermore, all studies on condensation of ammonia in tubes and annuli known to this author are listed in Tables 1 and 2. The information in these tables would be helpful to researchers and design engineers in locating the data sources for the parameters of interest to them.

STAGNANT VAPOR IN VERTICAL TUBES

Laminar Condensate Film

Nusselt developed analytical solutions for condensation on the surface of a vertical tube from stagnant vapor, the liquid film being assumed to be laminar. In terms of the liquid film Reynolds number the Nusselt equation for local heat transfer coefficient may be written as:

$$h_{TP} \left[\frac{\mu_1^2}{k_1^3 \rho_1 (\rho_1 - \rho_g) g} \right]^{1/3} = 1.10 Re_{lf}^{-1/3} \quad (1)$$

The equation for mean heat transfer coefficient is written as:

$$\bar{h}_{TP} \left[\frac{\mu_1^2}{k_1^3 \rho_1 (\rho_1 - \rho_g) g} \right]^{1/3} = 1.47 Re_{lf}^{-1/3} \quad (2)$$

The liquid film Reynolds number is defined as:

$$Re_{lf} = \frac{4w}{\pi D \mu_1} \quad (3)$$

w is the mass flow rate of condensate. For condensation inside a tube, D is the ID of tube. For condensation on the inner tube of an annulus, D is the OD of the inner tube of the annulus. Derivation of these equations and their alternative forms may be found in many books including that by McAdams²⁵.

Nusselt equations have had extensive theoretical and experimental verification. Koh et al.³⁸ and Chen³⁹ carried out rigorous theoretical analyses in which several factors which had been neglected by Nusselt were considered. Among these are the effect of drag by stagnant vapor on moving condensate and inertia effects. The conclusion was that for liquids with Prandtl number of 1 or higher, the effect of these factors is negligible.

McAdams²⁵ studied the experimental data of many early researchers and concluded that Nusselt equations may be used up to a film Reynolds number of 1800. However, he recommended increasing by 20% the mean heat transfer coefficients predicted by the Nusselt equation. Walt and Kroger⁴⁰ studied condensation of R-12 in a vertical tube and found that the ratio of measured to predicted coefficients increased from 1.03 to 1.15 as Re_{lf} increased from 5 to 28. Cavallini and Zecchin¹⁶ condensing R-11 in a vertical tube at very low vapor velocities found their measurements 20% higher than Nusselt predictions. Borchmann^{12,29} condensed R-11, R-12, and methanol in vertical annuli and found that Nusselt equations gave good correlation at u_g less than 3 m/s. Thus it can be concluded that for laminar condensate film in stagnant vapor, Nusselt equations can be used with confidence.

Turbulent Condensate Film

The liquid film Reynolds number increases with distance from entrance. For

long tubes, Re_{lf} may exceed the critical value and the condensate film would then become turbulent. For such cases, the Kirkbride correlation⁴¹ may be used to calculate the mean heat transfer coefficient for the entire length. The Kirkbride equation is:

$$h_{TP} \left[\frac{\mu_1^2}{k_1^3 \rho_1^2 g} \right]^{1/3} = 0.0077 Re_{lf}^{0.4} \tag{4}$$

This equation was developed from and verified with data for diphenyl, gasoline, Dowtherm A, and steam. Calculations may also be done using the analytical solutions of Dukler¹¹ and Rohsenow et al.⁴² for zero vapor shear. However, these authors have not provided any comparison of their solutions with experimental data. Hence the author recommends the Kirkbride correlation.

LAMINAR CONDENSATION IN HORIZONTAL AND INCLINED TUBES AND ANNULI

Nusselt derived the following equation for heat transfer during laminar condensation from stagnant vapor on the external surface of a horizontal tube:

$$h_{Nu} = 0.725 \left[\frac{\rho_l (\rho_l - \rho_g) g i_{fg} k_l^3}{D \mu_l (T_{SAT} - T_w)} \right]^{0.25} \tag{5}$$

This equation would also be applicable to condensation inside tubes if the vapor velocity is negligible and there is no accumulation of liquid at the bottom of the tube. However, liquid collects in the bottom of the tube and there is very little heat transfer from the liquid surface. The greater the depth of liquid, the lower the heat transfer coefficient.

Chaddock³⁶ and Chato³⁷ assumed that the liquid depth decreases along the tube length and that flow occurs due to the difference in liquid depth. Chato developed analytical solutions for horizontal and inclined tubes. His solution for horizontal tubes simplifies to the following relation:

$$h_{TP} = 0.77 h_{Nu} \tag{6}$$

where h_{Nu} is given by Eq 5.

The Chato solution for inclined tubes requires iterative solution of three equations. Numerical tables have been provided to assist in calculations. Ref 37 should be consulted for details. Chato also carried out experiments on condensation of R-113 and the data showed fair agreement with his equations. He recommended the use of his equations for Re_g less than 35,000 as above this value, liquid film may become turbulent.

Kroger⁴⁵ carried out experiments on condensation of R-12 in tubes of 6.1 to 12.5 mm ID inclined to the horizontal from 0° to 10° downwards. Agreement with Chato³⁷ equation was good. However, Kroger recommends that for horizontal tubes, predictions of Eq 6 be reduced by 10%. Kern⁴⁶ recommends a multiplier of 0.8 (instead of 0.77) in Eq 6.

Jaster and Kosky⁴⁹ developed the following equation for horizontal tubes:

$$h_{TP} = \alpha^{3/4} h_{Nu} \tag{7}$$

The void fraction is calculated by the correlation of Zivi⁵⁰ which is:

$$\frac{1}{\alpha} = 1 + \frac{1-x}{x} (\rho_g / \rho_l)^{2/3} \tag{8}$$

Rufer and Kezios⁴⁷ pointed out that the physical model used by Chato³⁷ is applicable only to open channel flows. In a closed system, flow of condensate

occurs due to system pressure and the liquid depth increases towards the tube exit. Based on this model, they developed equations for calculating void fraction.

Nilsson⁴⁸ condensed R-22 in horizontal and inclined tubes. Tube inclinations were 1° and 3° downwards and 1° upwards. With upward inclination, heat transfer decreased compared to horizontal tubes. With downward inclination, heat transfer increased. The results of Nilsson for horizontal and downward inclined tubes are given in Table 3. It is seen that the shorter tubes had higher heat transfer. This is understandable as the condensate collection in a shorter tube would be less. However, the Nilsson results are in conflict with those of Kroger⁴⁵. The L/D ratio in Kroger's tests varied from 47 to 100. Yet their recommended multiplier to h_{Nu} is 0.69 compared to 0.88 given by Nilsson.

The present author compared the data of Borchmann²⁹ for R-11 entering a horizontal annulus with a vapor velocity of 1 m/s. Eq 5 was found about 30% higher than the measurements. The data of Trapp³² for oil-free ammonia in a horizontal annulus give about the same result. The size of the outer tube in these tests is not known and hence it is not certain that this reduction is due to liquid accumulation.

Fig. 1 shows the comparison of various correlations for horizontal tubes. The predictions of Jaster-Kosky correlation for water at 1, 10, and 48 bar are plotted. These correspond to reduced pressures of 0.005, 0.047, and 0.22. The reduced pressures of most refrigerant and steam condensers would be in this range. It therefore appears that the Jaster-Kosky correlation generally overpredicts the heat transfer coefficients.

The Chato correlation for horizontal tubes is in reasonable agreement with the Kern recommendation, the data of Kroger, the data of Nilsson, as well as his own data, and is hence recommended. It is also recommended for horizontal annuli as it agrees fairly well with the data of Borchmann²⁹. For inclined tubes, the corresponding Chato correlation is recommended. The results of Nilsson (Table 3) may be used for preliminary estimates.

THE ONSET OF TURBULENCE

Rohsenow et al.⁴² analyzed condensation on a plate. They found that the film Reynolds number at which the liquid film becomes turbulent is a function of interfacial shear. In the absence of interfacial shear, the transition Reynolds number was found to be about 1800. This is in agreement with the conclusion of McAdams²⁵ based on experimental data. At higher vapor shear, the transition Reynolds number was found to be as low as 50. The results of Dukler analysis¹¹ are similar. Carpenter and Colburn¹⁵ examined their high velocity data and concluded that the transition film Reynolds number was 240.

As was mentioned earlier, Borchmann^{29,12} found good agreement with Nusselt equations for $u_G < 3$ m/s. The author has found that his correlation²³ for turbulent condensation is satisfactory if $u_G > 3$ m/s. Chato³⁷ recommends that his laminar film condensation analysis can be used for $Re_G < 35000$. Based on these three observations, the author suggests that Nusselt equations (and other based on laminar flow and stagnant vapor) are applicable if all the following three conditions are satisfied:

$$u_G < 3 \text{ m/s}, \quad Re_L < 1800, \quad Re_G < 35000$$

TURBULENT CONDENSATION WITH VAPOR SHEAR

Annular Flow Model Analysis

In most condensers with high velocity vapor, annular flow pattern prevails over much of the length of the tube. This has prompted numerous mechanistic analyses of the annular flow models. Perhaps the first to attempt such an analysis were Carpenter and Colburn¹⁵ but the accuracy of their equations is poor. The Carpenter-Colburn correlation was improved upon by Soliman et al.¹³ Calculations with the Soliman et al. correlation are very tedious and no other report of its evaluation has come to the author's notice.

Many other analyses have been performed following one of two approaches. The first approach is that of the Martinelli analogy in which it is assumed that the velocity in the liquid film can be predicted using universal velocity correlations based on single-phase data. The other approach is that of the Deissler analogy in which it is assumed that the eddy diffusivity of heat and momentum can be predicted using correlations based on single-phase data. Dukler¹¹ and Razavi and Damle⁵¹ followed this latter approach. Altman et al.¹, Kosky and Staub⁵², Traviss et al.²², Cavallini and Zecchin¹⁷, and Azer et al.⁷, are among those who used the Martinelli analogy approach. With either approach, the following two equations developed by Prandtl are solved:

$$\frac{q}{C_{pl} \rho_1} = -(\alpha + \epsilon_H) \frac{dT}{dy} \quad (9)$$

$$\frac{\tau}{\rho_1} = (v + \epsilon_M) \frac{du}{dy} \quad (10)$$

Estimation of shear stress τ requires the use of correlations for void fraction and pressure drop. Those of Zivi⁵⁰ and Lockhart-Martinelli⁴³ are most commonly used. Other assumptions include uniform thickness of liquid layer, zero liquid entrainment, and equality of momentum and heat eddy diffusivities. Closed form solution of the resulting equations has not been possible without further simplifying assumptions. Most researchers therefore proceeded to solve them numerically on computers. Dukler¹¹ has arranged his numerical solution in the form of graphs which can be used for design calculations. Azer et al.⁷ have fitted an equation to their numerical results. Kosky and Staub⁵² and Traviss et al.²² have developed design equations through further simplifying assumptions. The solution of Traviss et al.²² is simpler and is expressed by the following equations:

$$\frac{h_{TP} D}{k_1} = F_1 \frac{Pr_1 Re_1^{0.9}}{F_2}, \quad 0.15 < F_1 < 15 \quad (11)$$

where

$$F_1 = 0.15 \left[X_{tt}^{-1} + 2.85 X_{tt}^{-0.476} \right] \quad (12)$$

F_2 is given by the following equations:

$$Re_1 < 50, \quad F_2 = 0.707 Pr_1 Re_1^{0.5} \quad (13a)$$

$$50 < Re_1 < 1125, \quad F_2 = 5 Pr_1 + 5 \ln \left[1 + Pr_1 (0.0964 Re_1^{0.585} - 1) \right] \quad (13b)$$

$$Re_1 > 1125, \quad F_2 = 5 Pr_1 + 5 \ln (1 + 5 Pr_1) + 2.5 \ln (0.00313 Re_1^{0.812}) \quad (13c)$$

These equations were derived assuming $Pr_1 > 3$ and using the Lockhart-Martinelli correlation for two-phase frictional pressure drop. These were found to be in good agreement with the data of Bae et al.⁸. But the data of Traviss et al.²¹ were considerably higher at vapor qualities greater than 50%.

Many objections to the annular flow analyses may be raised such as they ignore liquid entrainment and liquid-vapor interface waves, assume $\epsilon_H = \epsilon_M$ while such is not the case, use empirical correlations for pressure drop of limited accuracy, prediction of flow pattern is not reliable, etc. Besides,

their reported agreement with experimental data is not impressive. Razavi and Damale⁵¹ compared their own solution as well as several others with 38 data points for water and various chemicals from the tests of Carpenter¹⁴ and Goodykoontz-Dorsch^{19,20}. Their own solution came out best with a mean deviation of 48%. The Dukler solution gave a mean deviation of 57%. Various annular flow model analyses have been discussed by Butterworth²⁸.

The Shah Correlation

The most verified predictive technique presently available is the author's correlation²³:

$$\Psi = h_{TP}/h_L = 1 + 3.8/Z^{0.95} \quad (14)$$

The parameter Z is defined as:

$$Z = \left(\frac{1}{x} - 1\right)^{0.8} Pr^{0.4} \quad (15)$$

The superficial heat transfer coefficient h_L is calculated as:

$$h_L = h_{L0} (1 - x)^{0.8} \quad (16)$$

h_{L0} is the heat transfer coefficient assuming all mass to be flowing as liquid and is calculated by the Dittus-Boelter equation:

$$h_{L0} = 0.023 \left(\frac{GD}{\mu_L}\right)^{0.8} Pr_L^{0.4} \frac{k_L}{D} \quad (17)$$

For annuli, D is replaced by D_{HYD} , the hydraulic equivalent diameter. All properties are calculated at the saturation temperature.

This correlation was originally developed using data for Pr up to 0.44. The data of Miropolskiy et al.⁴⁴ for water have now also been analyzed. These cover reduced pressures up to 0.82 and show good agreement with the Shah correlation.

In Ref 23, only a few data points for annuli from the tests of Borchmann¹² were analyzed. These showed increasing deviation with decreasing Re_L . Additional data for R-11, R-12, and methanol from tests by Borchmann^{12,29} have now been analyzed. The range of parameters covered by these tests is included in Table 2. Satisfactory agreement was found down to a Re_L of 358 and Re_L of 30. The reason for the large deviations at low Re_L reported in Ref 23 appears to be entrance effects. Fig. 2 shows some data from a run with R-11 in a horizontal annulus. The predictions of the Shah correlation are shown with and without entrance effect correction factor. To correct for entrance effects, h_{TP} from Eq 14 was multiplied by $[1 + (D_{HYD}/L)^{0.7}]$ as recommended by McAdams²⁵ for single-phase flows. With this correction, excellent agreement with the Shah correlation is seen. Most of the Borchmann data show the same trend.

Table 4 lists the complete range of parameters over which the Shah correlation has been well-verified. Table 5 lists the deviations of individual data sets compared to this correlation. Thus 777 data points for ten fluids covering a very wide range of parameters have been correlated with a mean deviation of 15.7%. The data included horizontal, vertical, and inclined tubes as well as horizontal and vertical annuli. The Shah correlation is therefore recommended for general use provided all the following conditions are fulfilled:

$$u_G > 3 \text{ m/s}, \quad Re_L > 350, \quad Re_G > 35,000$$

Other Correlations

A large number of other correlations have been proposed. Very few of them have been adequately tested with data. A few which have had some evaluation are mentioned here.

A well-verified correlation for halocarbon refrigerants is that of Cavallini and Zecchin¹⁷ which is written as:

$$h_{TP} D/k_1 = 0.05 Re_{eq}^{0.8} Pr_1^{0.33} \quad (18)$$

where

$$Re_{eq} = Re_1 + \left(\frac{\mu_g}{\mu_1}\right) \left(\frac{\rho_1}{\rho_g}\right)^{0.5} Re_g \quad (19)$$

The data used for its verification include fluids R-11, R-12, R-21, R-22, R-113, and R-114. The ratio (ρ_1/ρ_g) varied from 11 to 314, and Re_1 from 7,000 to 53,000. The standard deviation of data sets varied from 8 to 47%. Hence this correlation can be used with confidence for halocarbon refrigerants in the range of dimensionless parameters covered. Its applicability to water cannot be assumed without verification as its properties differ greatly from halocarbon refrigerants.

A well-known correlation is that of Ananiev et al.³ which is as follows:

$$h_{TP} = h_L (\rho_1/\bar{\rho})^{0.5} \quad (20)$$

The mean vapor-liquid mixture density $\bar{\rho}$ is calculated assuming homogeneous flow as:

$$\bar{\rho} = \frac{\rho_1 \rho_g}{\rho_g + x (\rho_1 - \rho_g)} \quad (21)$$

The Ananiev et al. correlation agrees well with their own water data as well as those of Miropoloskiy et al.⁴⁴ for pressures between 4 and 180 bar. However several other researchers have reported unsatisfactory agreement with data^{52,1.9}. It is suggested that the use of this correlation be restricted to water between 4 and 180 bar.

The well-known correlation of Akers et al.² has been evaluated by several researchers and found always to predict too low^{9,24,16,7}. This correlation is clearly unsatisfactory.

EFFECT OF OIL

In all foregoing discussions, condensing fluid has been assumed to be free of oil or any other contaminant. In most vapor-compression refrigeration machines, significant amounts of lubricating oil get mixed with refrigerant and reach the condenser. Hence the effect of oil on heat transfer is a subject of much interest to refrigeration engineers. The vast majority of refrigeration machines presently in use employ halocarbon refrigerants which are soluble in oil. Regrettably, the author has been unable to locate any experimental study on oil-halocarbon refrigerant condensation. Some data on condensation of oil containing ammonia and carbon dioxide were found and these are included in Table 1. Both of these refrigerants have negligible solubility in oil.

Mazukewitch³⁴ condensed ammonia on the inner tube of a vertical annulus 16 mm ID, 46 mm OD. Experiments were done with the surface clean, rusty, and oil-smearred rusty. The results are shown in Fig. 3. It is seen that the data for the oil-smearred rusty surface are about 30% lower than those for the oil-free rusty surface.

Abdulmanov and Mirmov^{58,30} report that in U.S.S.R., it is generally believed that the presence of oil in ammonia condensers results in oil film thicknesses of 0.05 to 0.08 mm and design calculations are done on this basis. It is interesting that Shah⁶ in his experiments with evaporation of ammonia in tubes actually observed oil films. By analyzing his single-phase liquid heat transfer data, he estimated oil film thicknesses of 0.04 to 0.10 mm.

Abdulmanov and Mirmov⁵⁸ condensed ammonia on a horizontal tube 25 mm OD

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enclosed in a large shell. Tests were done with and without oil. The tests indicated that oil increased the heat transfer by 30%. Mirmov and Yemelyanov³⁰ have expressed the opinion that the low heat transfer coefficients found in practice are caused by presence of air and not because of any oil film.

Thus the available information about effect of oil on ammonia condensers is apparently conflicting. More investigation is needed. In the tests of Kratz et al.²⁴, ammonia containing oil was condensed. Useful information about the effect of oil could probably be gathered by comparing these data with the Shah correlation. Similar analysis of experimental data of Schmidt³³ for oil containing carbon dioxide may also yield useful information. Some further experimental work with oil-immiscible refrigerants seems desirable.

EFFECT OF NON-CONDENSIBLE GASES

Presence of non-condensable gases, mainly air, decreases heat transfer. A layer of air forms at the liquid interface through which the condensing vapor must diffuse before reaching the liquid surface. A sharp drop in pressure and temperature of condensing vapor occurs across this layer causing reduction in heat transfer. The effect of air is very strong in condensation of stagnant vapors as the transfer of vapor through the air layer is by molecular diffusion. In forced convection, the effect of air is much less. Furthermore, the effect is greater at lower pressures. Sparrow et al.^{53,54} have carried out a comprehensive analysis for laminar film condensation. Numerical results for steam are provided in graphical form which may be used, where applicable, for design calculations.

Fig. 4 shows the data of Mazukewitch³⁴ for condensation of ammonia containing air in a vertical annulus.

For further details and methods of calculation, the book by Collier³⁵ may be consulted.

EFFECT OF VAPOR SUPERHEAT

In all discussion till now, the vapor has been assumed to be at saturation temperature. In virtually all vapor-compression systems, the vapor entering the condenser is superheated. Hence the prediction of heat transfer with superheated vapor is of much practical interest.

Stagnant Vapor

While the bulk of the vapor is superheated, a thermal boundary layer is created adjacent to the liquid surface in which the vapor temperature drops to the liquid surface in which the the vapor temperature drops to the interface temperature. If the effects of free convection in the vapor boundary layer are neglected, the problem may be analyzed by extending the basic Nusselt theory to get the following result:

$$\frac{h}{h_{SAT}} = \left[\frac{i_{fg} + C_{pg} (T_g - T_{SAT})}{i_{fg}} \right]^{0.25} \quad (22)$$

h is the heat transfer coefficient of superheated vapor and h_{SAT} is that of saturated vapor. Under most conditions of practical interest, Eq 22 predicts an increase of only a few percent even with high superheats. Virtually all experimental studies agree with this result. For example Walt and Kroger⁴⁰ condensed R-12 with superheats up to 128 °C in a vertical tube and found increases up to 8% over the Nusselt equation for saturated vapor. Minkowyc and Sparrow's theoretical analysis⁵⁵ showed a maximum increase of 5% for steam with superheat up to 220 °C. Thus for stagnant vapors (and very low velocity vapors), the effect of superheat may be neglected and calculations done assuming saturated vapor.

Moving Vapor

If the tube wall temperature is above the saturation temperature, heat transfer to vapor occurs purely by single-phase convection and can be predicted by single-phase correlations. If the wall temperature is sufficiently lower than the saturation temperature, condensation starts while the vapor core is still superheated.

As yet no thoroughly verified technique for predicting heat transfer under such non-equilibrium conditions is available. The best available appears to be the correlation of Miropolskiy et al.⁴⁴. They state that even if the wall temperature is below the saturation temperature, condensation will not start unless the vapor temperature is below a border temperature T_B and the vapor quality below a border quality x_B defined by the following equations:

$$T_B = T_{SAT} + \frac{q}{h_B} \tag{23}$$

$$x_B = 1 + \frac{q \bar{c}_{pg}}{h_B i_{fg}} \tag{24}$$

\bar{c}_{pg} is the mean of vapor specific heats over the range of T_{SAT} and T_B . h_B is calculated by an equation suitable for superheated vapor. If $x > x_B$ and $T_g > T_B$, heat transfer to vapor occurs purely by single-phase convection. For $1 < x < x_B$, their correlation has the functional form:

$$\frac{h_{TP} - h_B}{(h_{TP})_{x=1} - h_B} = \text{fn} \left(\frac{x-1}{x_B-1} \right) \tag{25}$$

The functional relation is expressed graphically in Fig. 5. To interpret this figure correctly, it is to be noted that when the right hand side of Eq 25 is zero, the left hand side is equal to 1, and vice-versa. Fig. 5 also shows good agreement with data for water between 4 and 180 bars. h_{TP} at $x = 1$ may be calculated by substituting $x = 1$ in the Ananiev correlation, Eq 20. The Shah correlation may also be used with $x = 0.99$.

An important point regarding the calculation of h_B is that Miropolskiy et al.⁴⁴ found that to correlate their data for superheated steam in terms of bulk fluid properties, the constant multiplier in Eq 17 should be doubled. This is because of the effect of variation of fluid properties across the cross-section of tube. The procedure generally adopted for calculating heat transfer of superheated fluids is to evaluate the fluid properties at the film temperature defined as the arithmetic mean of wall and bulk temperature.

Miropolskiy et al. developed this correlation only for steam, and the correlating lines in Fig. 5 as given by them were in terms of absolute pressure of water. The present author has replaced absolute pressures by reduced pressures in the hope that it may also be applicable to other fluids. Whether it is in fact generally applicable to fluids other than water remains to be verified.

EFFECT OF BENDS

Traviss and Rohsenow⁵⁷ carried out experiments on condensation of high velocity R-12 in a tube downstream of a return bend similar to those generally used in air cooled refrigeration condensers. Their conclusion was that the return bends have no perceptible effect on heat transfer.

INTERFACIAL PHASE-CHANGE RESISTANCE

For the transfer of mass across the vapor-liquid interface, a driving potential is needed. Therefore the pressure and temperature at the interface must be lower than that well away. On this point there could be no disagreement. The question is about the magnitude of this interfacial resistance. The interfacial heat transfer coefficient can be calculated by the following equation³⁵:

$$h_i = \frac{2\sigma}{2-\sigma} \left[\frac{1}{2\pi R T_{SAT}} \right]^{0.5} \frac{i_{fg}^2 P}{R T_{SAT}^2} \quad (26)$$

σ is known as the condensation or accommodation coefficient and represents the fraction of molecules striking the liquid surface which are captured. Very low values of σ are given by many theories and experiments. On examining the data from a number of experimental studies on condensation of metals, Rohsenow⁵⁵ concluded that σ is always close to 1. The reported low values are due to the presence of non-condensibles and measurements errors. Berman⁵⁶ reached the same conclusion on examining a large amount of data for non-metallic fluids.

The author recommends that σ be taken as 1 under all conditions for all fluids. With $\sigma = 1$, h_i predicted by Eq 26 would be several orders of magnitudes higher than the heat transfer coefficient of the liquid film for the fluids and conditions of interest to refrigeration engineers. Hence the phase change heat transfer resistance may be neglected.

DESIGN RECOMMENDATIONS

1. If $u_g < 3\text{ m/s}$, $Re_L < 1,800$, and $Re_g < 35,000$, the recommendations are as follows:
 - a. For vertical tubes and annuli, use the Nusselt solution, Eq 1 and 2.
 - b. For horizontal tubes and annuli, use Eq 6, the Chato correlation.
 - c. For tubes and annuli inclined downwards by a few degrees, use the Chato correlation as given in Ref 37. The results of Nilsson as given in Table 3 may be used for preliminary estimates.
 - d. The effect of vapor superheat may be neglected.
2. If $Re_L > 1,800$ but $u_g < 3\text{ m/s}$ and $Re_g < 35,000$, use the Kirkbride correlation, Eq 4.
3. If $u_g > 3\text{ m/s}$, $Re_L > 350$, and $Re_g > 35,000$, the following recommendations apply to tubes and annuli in any orientation.
 - a. For saturated vapors, use the Shah correlation for all fluids.
 - b. For superheated vapors, use the Miropolskiy et al. correlation of Fig. 5. It must be remembered that this correlation has been verified only with steam data. For other fluids, it should be used only if no other predictive technique is available.
4. The effect of return bends on heat transfer may be neglected.
5. Evidence regarding effect of oil in ammonia condensers is conflicting. It would be prudent to reduce pure vapor heat transfer coefficients by 30%, as suggested by the data of Fig. 3.
6. The phase-change interfacial heat transfer resistance may be neglected.

SUMMARY AND CONCLUSION

The available information on heat transfer during condensation in tubes and annuli has been reviewed. For saturated pure vapors, design calculations can be done with confidence over the entire range of interest using the equations of Nusselt, Shah, and Chato. For high velocity superheated vapor, no well-verified predictive technique is available. There is no information available for effect of oil in halocarbon refrigerant condensers. The available information on effect of oil in ammonia condensers is very limited and conflicting. Clear design recommendations have been made wherever possible.

Further research is needed on effect of oil in condensers. Much could be learned by analyzing the data for non-miscible refrigerants from previous experiments. Further experimentation is also needed. 35

Extensive references to experimental studies and data sources have been provided. These should help further research efforts and are also likely to be of value to design engineers.

NOMENCLATURE

C_{pl}	specific heat of liquid
C_{pg}	specific heat of vapor at constant pressure
D	diameter of tube
D_i	diameter of inner tube of an annulus
D_o	diameter of outer tube of an annulus
D_{HYD}	equivalent hydraulic diameter = $D_o - D_i$
F_1, F_2	parameters in Traviss et al. correlation
G	total mass flow (liquid + vapor), mass per unit flow area per unit time
g	acceleration due to gravity
h	heat transfer coefficient
h_{TP}	local condensing heat transfer coefficient
h_L	heat transfer coefficient assuming all mass to be flowing as liquid
h_l	heat transfer coefficient assuming the liquid phase to be flowing alone in the tube
h_i	interfacial phase-change heat transfer coefficient
h_{Nu}	heat transfer coefficient given by Eq 5, the Nusselt equation for condensation on a horizontal tube
i_{fg}	latent heat of vaporization
k_l	thermal conductivity of liquid
L	distance from the point where condensation starts
L_c	length of tube required for condensation from $x = 1.0$ to $x = 0.0$
Pr_l	Prandtl number of liquid
p	absolute pressure
p_r	reduced pressure = p/p_c where p_c is the critical pressure
q	heat flux
Re_L	Reynolds number assuming all mass to be flowing as liquid = GD/μ_l
Re_l	superficial liquid Reynolds number = $Re_L (1-x)$
Re_{lf}	Reynolds number of liquid film, defined by Eq 3
Re_g	Reynolds number assuming all mass to be flowing as saturated vapor = GD/μ_g

- Re_g superficial vapor Reynolds number = $G \times D / \mu_g$
- R gas contact
- T_g temperature of superheated vapor
- T_w wall temperature
- T_{SAT} saturation temperature
- T_B border temperature as defined by Eq 23
- u_G velocity assuming all mass to be flowing as vapor = G / ρ_g
- w mass flow rate of condensate
- x thermodynamic vapor quality. $x > 1$ for superheated vapor
- X_{tt} Martinelli parameter = $(\frac{1}{x} - 1)^{0.9} (\rho_g / \rho_l)^{0.5} (\mu_l / \mu_g)^{0.1}$
- Z Shah's correlating parameter given by Eq 15

Greek Symbols

- ψ h_{TP}/h_l
- ρ density
- μ dynamic viscosity
- θ angle of inclination to horizontal
- σ condensation coefficient
- τ shear stress

Subscripts

- l of liquid
- g of vapor
- B at border conditions as defined in Miropolskiy et al. correlation

Superscript

- mean or average

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Table 1. Summary of Experimental Studies on Condensation Inside Tubes

Source	Fluid	Orien- tation	Pipe ID mm	P_r	x	q KW/m ²	$G \times 10^{-3}$ kg/hm ²	Re_L	Re_1	u_G m/s	Notes
Cavallini ¹⁶	R-11	V	20.0	0.021	0.30	9	298	3207	510	15	
				0.036	1.00	35	1108	11504	2309	22	
Powell ¹⁰	R-11	H	12.9	0.035	0.25	16	928	9333	7000	30	
Traviss ²¹	R-12	H	8.0	0.14	0.07	6	547	6000	300	5	
				0.36	0.95	57	5517	62900	58500	18	
Traviss ²¹	R-22	H	8.0	0.20	0.20	9	683	6600	330	4	
				0.36	0.95	85	3613	43750	35000	12	
Bae ⁹	R-12	H	12.5	0.16	0.04	9	752	13300	800	5	
				0.28	0.94	62	2715	69000	47000	11	
Bae ⁸	R-22	H	12.5	0.22	0.17	19	1172	20000	800	7	
				0.30	0.96	38	2368	42000	35000	10	
Altman ¹	R-22	H	8.7	0.27	0.06		1094	14400	800	5	
				0.44	0.96		3091	41000	25000	8	
Azer ⁷	R-12	H	12.7	0.29	0.30		757	14700	130	3	
				0.39	0.99		1611	31200	20400	6	
Goodykoontz ¹⁸	R-113	V	7.4	0.02	0.16	35	2993	11000	800	61	
				0.09	0.95	268	5273	19000	18000	79	
Goodykoontz ²⁰	Water	V	7.4	0.0019	0.06	173	318	2500	125	91	
				0.011	0.95	1893	1640	13000	12000	305	
Goodykoontz ¹⁹	Water	V	15.9	0.005	0.00	63	78	1320	660	20	
				0.017	1.00	568	268	4560	2300	71	
Jakob ⁴	Water	V	40.0	0.0046	0.70	47	88	3670	180	40	
					1.00	233	171	7370	960	80	
Ananiev ³	Water	H	8.0	0.031	0.00	0.2	1381				
				0.44	1.00	6	5760				
Carpenter ¹⁴	Water	V	11.6	0.005	0.00	16	59	445	200	27	
				0.005	1.00	199	503	5110	2500	120	
Tepe ⁵	Metha- nol	Incli- ned	18.9	0.013	0.06	28	59	900	420	14	
				0.014	1.00	31	107	1600	490	26	
Carpenter ¹⁴	Metha- nol	V	11.6	0.013	0.00	9	78	386	200	19	
				0.014	1.00	82	527	3460	1700	93	
Tepe ⁵	Benzene	V,H, Inclined	18.9	0.020	0.02	13	88	1500	600	9	
				0.021	1.00	47	317	5000	2100	33	
Carpenter ¹⁴	Trichlo- roethyl- ene	V	11.6	0.020	0.00	6	127	1010	500	8	
				0.025	1.00	72	1367	10300	5000	64	
Carpenter ¹⁴	Toluene	V	11.6	0.024	0.00	9	117	1290	600	11	
				0.026	1.00	41	552	6220	3000	47	
Carpenter ¹⁴	Ethanol	V	11.6	0.016	0.00	13	39	104	50	3	
				0.017	1.00	50	513	2430	600	74	
Schmidt ³³	CO ₂	V	22	0.98		4					a, b
				0.82		12					
Izumi ²⁷	R-12	H	7.6	0.16	0.00	6	113		15		a, b
			21.2	0.22	1.00	17	2205		8000		
Akers ²	R-12	H	15.8	0.42			29				b
				0.92			1575				
Akers ²	Propene	H	15.8	0.52			6				b
				0.98			629				
Gorodinskaya ²⁶	Ammonia	H	10.0	0.07						0	b
				0.12						10	
Kratz ²⁴	Ammonia	H	50.0	0.09							a, b

Notes:

- a. Contained oil
b. Data are not easily analyzable

Table 2. Some Experimental Studies on Condensation in Annuli

Source	Fluid	Orien- tation	D_i mm	D_o mm	Pr	x	$qx10^{-3}$ w/m ²	$Gx10^{-3}$ kg/hm ²	Re _L	Re ₁	u_G m/s	Notes
Borchmann ¹²	R-11	H	31.2	38.0	.037	0.62	9	102	520	50	4	
							14	1021	5226	1600	40	
	R-12	V	31.2	38.0	.166	0.00	9	139	1200	150	1	
							20	1111	9935	993	8	
	Methanol	V	31.2	38.0	.013	0.00	38	12	358	30	11	
						1.00	90	50	1524	460	47	
Borchmann ²⁹	R-11	H	31.2	49.2	.028	0.00	15	26	333	82	1	
						1.00	37	553	7000	700	21	
Mazukevitch ³⁴	Ammonia	V	16.0	46.0	.080		6					a, b
							23					
Trapp ³²	Ammonia	H	31.0	Not known	.068		6					b
					.080		31					
Kratz ²⁴	Ammonia	H	50.0	75.0	.089		2					a, b
							8					

Notes:

a contained oil

b Data are not easily analyzable

TABLE 3

Results of Nilsson⁴⁸ for Condensation of
R-22 in Horizontal and Downward Sloped
Tubes

L/D	Tube Orientation	\bar{h}/h_{Nu}
L/D < 350	Horizontal tube	0.88
	10-30° inclined	1.05
L/D = 1000	Horizontal tube	0.74
	10-30° inclined	0.87

TABLE 5

Summary of Comparison of Experimental Data with the Shah Correlation²³.

Date of	Fluids	Nc. of Data Points Analyzed	Mean Dev. %
Cavallini & Zecchin ¹⁶	R-11	31	7.1
Powell ¹⁰	R-11	1	3.0
Traviss et al ²¹	R-12	48	19.7
Bae et al ⁹	R-12	46	21.8
Azer et al ⁷	R-12	39	25.1
Traviss et al ²¹	R-22	36	17.3
Bae et al ⁸	R-22	46	8.4
Altman et al ¹	R-22	16	11.4
Goodykoontz & Brown ¹⁸	R-113	23	13.5
Jakob et al ⁴	Water	29	15.5
Annaniev et al ³	Water	67	22.4
Goodykoontz & Dorsch ¹⁹	Water	14	13.0
Goodykoontz & Dorsch ²⁰	Water	25	13.1
Carpenter ¹⁴	Water	2	18.0
Carpenter ¹⁴	Methanol	2	7.0
Tepe & Mueller ⁵	Methanol	4	26.7
Tepe & Mueller ⁵	Benzene	20	11.3
Carpenter ¹⁴	Trichloroethylene	5	19.0
Carpenter ¹⁴	Toluene	2	24.5
Carpenter ¹⁴	Ethanol	2	6.5
Borchmann ¹²	R-11	14	22.0
	R-12	36	10.0
	Methanol	55	15.1
Borchmann ²⁹	R-11	21	16.5
Miropolski et al ⁴⁴	Water	191	14.0
Total number of data points		777	
Mean Deviation			15.7%

TABLE 4

The Range of Parameters in which the Shah Correlation Has Been Well-Verified

Parameter	Verified Range
Fluids	Water, R-11, R-12, R-22, R-113, methanol, ethanol, toluene, trichloroethylene, benzene.
Flow channel	Tube, annulus
Flow direction	Horizontal, vertical, 15° incline to horizontal
Tube ID, mm	2.8 to 40
T _{SAT} , °C	21 to 355
x, percent	0 to 100
q, W/m ²	158 to 16,000,000
G, kg/m ² s	11 to 4000
p, bar	0.7 to 180
Pr	0.0019 to 0.82
Pr ₁	1 to 13
Re _L	350 to 100,000
u _G , m/s	3 to 300
Flow pattern	All

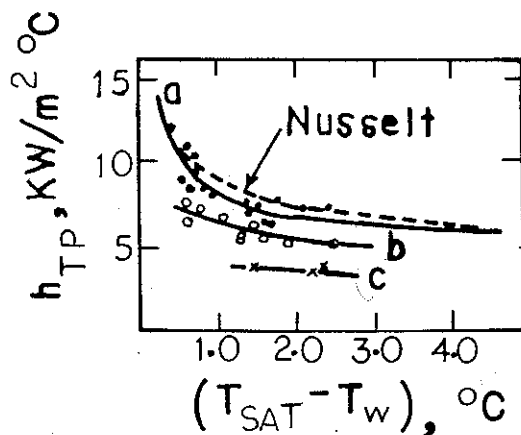


Fig. 3 Effect of oil and rust on condensation of ammonia in a vertical annulus. The various curves are: a = clean tube, no oil; b = rusty tube, no oil; c = rusty oil-smearred tube. Data of Muzukewitch³⁴

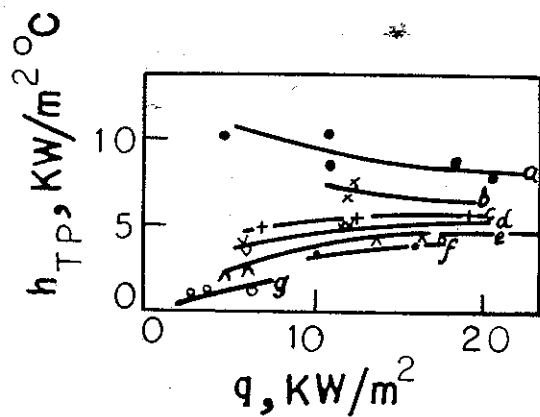


Fig. 4 Effect of air on condensation of oil-free ammonia in a vertical annulus. The lines a, b, c, d, e, f, g, are for volumetric air contents of 0, 1.75, 5.5., 7.2, 11.7, 17.0, and 34.1% respectively. Data of Mazukewitch³⁴

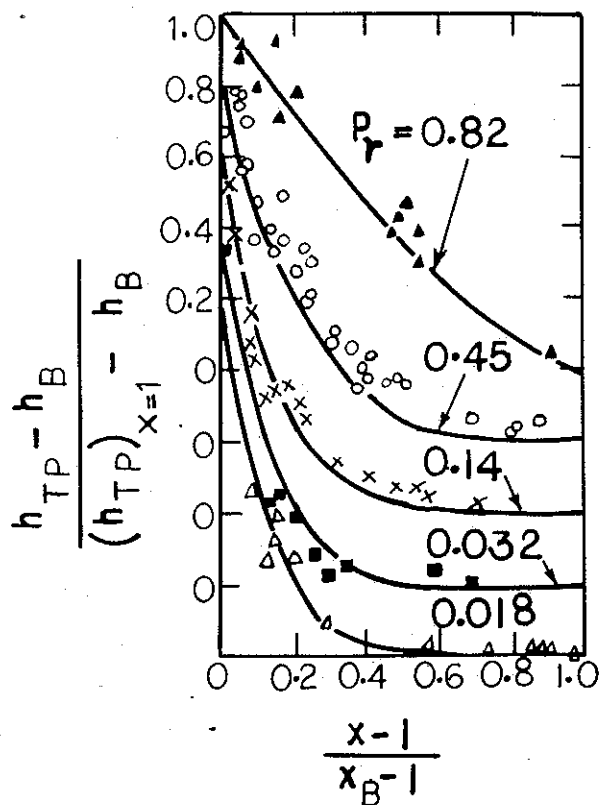


Fig. 5 Correlation of Miropolskiy et al.⁴⁴ for condensation of superheated steam in tubes

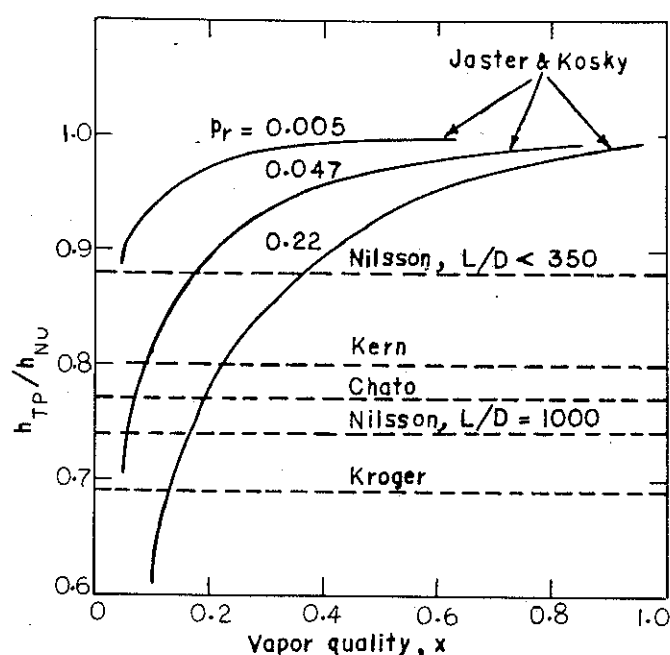


Fig. 1 Comparison of various correlations for laminar condensation in horizontal tube

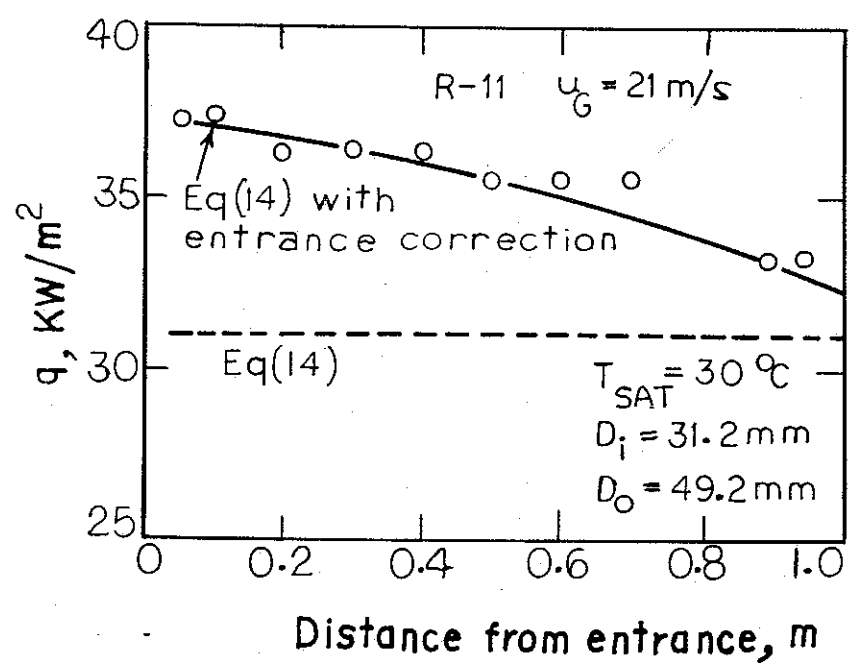


Fig. 2 Comparison of Shah correlation with and without entrance correction factor with the data of Borchmann²⁹ for condensation in a horizontal annulus cooled by water in counterflow. Water velocity = 4.1 m/s. Water inlet temperature = 15°C. Dry saturated vapor entered the test section.