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Improved correlation for heat transfer during condensation in mini and macro channels

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a r t i c l e i n f o

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1. Introduction

Condensation of vapors is involved in many industries including refrigeration, conventional and nuclear power plants, chemical processing, etc. In the past, most condensers used comparatively large diameter round tubes. In recent years, there has been increasing use of small diameter channels of various shapes as these provide higher heat transfer coefficients and occupy less space**.** They also reduce the amount of refrigerant in refrigeration systems and thus minimize environmental impact in case of leakage. Hence methods for predicting heat transfer are needed for conventional (macro) tube sizes as well as mini/micro channels. Many correlations have been published for this purpose. The present author published a correlation, Shah [\[154\],](#page-19-0) which gave good agreement with wide ranging data from 88 sources. Since then, several new refrigerants have been introduced and much more test data has been published. Several new correlations have also been published. It was therefore felt desirable to compare the new and previous correlations to new data to ascertain their accuracy. A project was therefore undertaken for this purpose. A very large database consisting of new as well as previously analyzed data was compared to leading published correlations. During this work, an improved correlation was developed by modifying the author's earlier correlation, Shah [\[154\].](#page-19-0)

In the following, the previous research in this field is discussed, the development of the improved correlation is described, and its comparison with a wide-ranging database is presented. The

A B S T R A C T

An improved version of the present author's earlier correlation for heat transfer during condensation in conventional and mini channels is presented. It has been validated by comparison with a database that includes 51 fluids (water, refrigerants, chemicals, cryogens), diameters 0.08 to 49.0 mm, reduced pressures 0.0006 to 0.949, mass flux from 1.1 to 1400 kgm−2s−1, various shapes (round, rectangular, triangular, etc.), single and multi-channels, annuli, horizontal and vertical downflow. The data are from 130 sources. The improved correlation predicts 8298 data points with mean absolute deviation (MAD) of 17.9 %. The same data are also compared to other correlations. Their deviations are much greater.

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database includes 51 fluids (water, natural refrigerants $CO₂$ and ammonia, various types of halocarbon refrigerants, hydrocarbons, cryogen nitrogen, heat transfer fluids), diameters 0.08 to 49.0 mm, reduced pressures 0.0006 to 0.946, mass flux from 1.1 to 1400 kg m−2s−1, various shapes (round, rectangular, triangular, etc.), horizontal and vertical downflow. The improved correlation predicts 8298 data points from 130 sources with mean absolute deviation (MAD) of 17.9 %. The same data were also compared to other leading correlations. They had considerably larger deviations. A simple criterion is given for the range of reasonable accuracy of the Shah [\[141\]](#page-19-0) correlation in view of its wide use in analyzes such as heat recovery and bottoming cycles.

Note that all discussions in this paper pertain to plain channels. Channels with fins or other enhancement devices are not considered.

2. Previous work

There have been many published experimental studies on heat transfer during condensation in tubes over the last hundred years. The studies in recent years have predominantly been on minichannels. Many predictive methods, theoretical and empirical have been proposed, starting with the laminar flow analysis by Nusselt. The experimental work and prediction methods have been most recently reviewed by Shah [\[156\].](#page-19-0) Earlier reviews include Collier and Thome $[40]$, Del Col et al. $[47]$, Zhang et al. $[184]$, and Riefert et al. [\[137\].](#page-19-0) These researches will be briefly reviewed in the following. Before doing so, it is desirable to briefly discuss the boundary between mini and macro channels.

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2.1. Boundary between mini and macro channels

The most widely used classification is that by Kandlikar [\[85\].](#page-18-0) According to it, channels with $D_{HYD} > 3$ mm are macro (conventional) while those with $D_{HYD} \leq 3$ mm are mini/micro channels. This is a physical dimension criterion. Many researchers consider

the regime of minichannels to start when surface tension begins to have effect and correlations for macro channels begin to fail due to it. Many of these classifications use Bond number or its equivalent confinement and Eotvos numbers to define the boundary. Shah [\[151\]](#page-19-0) evaluated these classifications against data for boiling, condensation, and two-component flow in channels. He found that none of them is able to predict the limit of applicability of heat transfer correlations for conventional channels to mini channels.

As the classification of Kandlikar is in wide use, channels with $D_{HYD} \leq 3$ mm are called minichannels in this paper.

2.2. Experimental studies

There have been numerous experimental studies in which heat transfer coefficients in channels were measured. Some of them do not provide sufficient details for comparing them to prediction methods. In Shah [\[154\]](#page-19-0) data from 88 experimental studies were analyzed. The salient features of those experimental studies are given in Shah [\[147,148,154\]](#page-19-0) and are summarized in [Table](#page-2-0) 1 . These include both mini and macro channels. The papers by Dorao and Fernandino [\[57\]](#page-18-0) and Kim and Mudawar [\[93\]](#page-18-0) list many experimental studies. Thirty-six more experimental studies which provide analyzable data were identified during the present research. They are listed in [Table](#page-5-0) 2 along with their features. These include data for a number of new refrigerants as well as for nitrogen. The new data also include two studies using annuli while those mentioned above did not have any data for annuli.

2.3. Prediction methods

2.3.1. Analytical approaches

A number of early authors analyzed a symmetrical annular flow pattern assuming that the velocity profile in the liquid layer is similar to that in single phase flow or assuming that the eddy diffusivity distribution is similar to that in single phase flow. Various other assumptions and simplifications were also made. Most authors solved the resulting equations numerically and presented the results in the form of graphs or equations fitted to the computer output. A few solved the equations analytically with more simplifying assumptions and came up with design equations. Among these, that of Traviss et al. [\[167\]](#page-19-0) has been quoted extensively and is compared to test data in the present research.

Many other analyzes of condensation in annular and other flow patterns have been done, most of them numerically. These include many CFD simulations. These efforts have been reviewed among others by Kharangate and Mudawar (2017) and Keniar et al. [\[88\].](#page-18-0) None of the published studies have been shown to agree with varied data from many sources. While these analyzes have increased our understanding of the phenomena, they are as yet not suitable for use in design.

2.3.2. Correlations

A very large number of correlations have been proposed. The vast majority of them are based only on the authors' own test data. A few correlations have been developed using data from many sources for many fluids and covering a wide range of parameters. Only such correlations are discussed here.

Some correlations are based on flow patterns. Among those are the correlations of Thome et al. (2003) and Shah [\[146\].](#page-19-0) The latter was shown to be in good agreement with data for 25 fluids in horizontal tubes of 2 to 49 mm diameter. The difficulty in using flow pattern-based correlations is the unavailability of accurate flow pattern maps. As discussed in Shah [\[156\],](#page-19-0) many authors have reported wide discrepancies of their flow pattern data with published flow pattern maps.

Table 1 (*continued*)

Note: D_{HP} is listed only if it is different from D_{HYP} .

The correlations that do not involve flow pattern are now discussed. Among them are those of Moser et al. [\[125\],](#page-19-0) Cavallini et al. [\[37\],](#page-17-0) and Shah [\[143,144\].](#page-19-0) Each of them was based on data from many sources. Most of the data were for macro channels. The data analyzed by Shah included both horizontal and vertical tubes while the other two were verified only with horizontal tube data. The correlations of Ananiev et al. [\[9\]](#page-17-0) and Akers et al. [\[3\]](#page-17-0) were based on their own data only but have been compared to other data by many authors.

Many correlations have been proposed exclusively for minichannels. Among them, those verified with most data are those of Kim and Mudawar $[93]$ and Shah $[147]$. The former is flow pattern based but formulas for predicting flow patterns are included in it.

A few correlations have been published which are intended to be applicable to both mini and macro channels. Among them, those that have been verified with wide-ranging databases are Shah [\[148,154\],](#page-19-0) Hosseini et al. [\[190\],](#page-20-0) and Moradkhani et al. [\[191\].](#page-20-0)

2.3.4. The shah correlations

As the new correlation developed during the present research modifies and incorporates the earlier Shah correlations, they are described here.

Shah [\[141\].](#page-19-0) Shah [\[141\]](#page-19-0) developed the following correlation:

$$
h_{TP} = h_{LS} \left(1 + 3.8 / Z^{0.95} \right) \tag{1}
$$

This correlation is widely used but the author had recommended it only for moderate pressures and higher flow rates.

Shah [\[143,144\].](#page-19-0) In order to extend applicability to higher pressures and low flow rates, Shah [\[143\]](#page-19-0) gave a new correlation. It uses the following two equations.

$$
h_{I} = h_{LS} \left(1 + \frac{3.8}{Z^{0.95}} \right) \left(\frac{\mu_{L}}{14 \mu_{G}} \right)^{(0.0058 + 0.557 p_{r})}
$$
(2)

$$
h_{Nu} = 1.32 Re_{LS}^{-1/3} \left[\frac{\rho_L (\rho_L - \rho_G) g k_L^3}{\mu_L^2} \right]^{1/3} \tag{3}
$$

Note that Eq. (2) approximates to Eq. (1) when reduced pressure p_r is low. Eq. (3) is the Nusselt equation for condensation in a vertical tube, multiplied by 1.2 as recommended by McAdams [\[116\].](#page-19-0)

There are three regimes of heat transfer.

In Regime I,

$$
h_{TP} = h_I
$$
\nIn Regime II,

\n(4)

$$
h_{TP} = h_I + h_{Nu} \tag{5}
$$

In Regime III:

$$
h_{TP} = h_{Nu} \tag{6}
$$

 h_{LS} in Eqs. (1) and (2) is the heat transfer coefficient of the liquid phase flowing alone in the tube. It is calculated by the following equation:

$$
h_{LS} = 0.023 Re_{LS}^{0.8} Pr_L^{0.4} k_L/D
$$
\n(7)

Z is the correlating parameter introduced by Shah [\[141\]](#page-19-0) defined as:

$$
Z = (1/x - 1)^{0.8} p_r^{0.4}
$$
 (8)

The boundaries of these heat transfer regimes are determined as follows.

Horizontal Tubes:

Regime I occurs when:

$$
J_g \ge 0.98(Z + 0.263)^{-0.62} \tag{9}
$$

Regime III occurs when:

$$
J_g \le 0.95(1.254 + 2.27Z^{1.249})^{-1}
$$
 (10)

If neither of the above conditions is satisfied, it is Regime II. J_g is the dimensionless vapor velocity defined as:

$$
J_g = \frac{\mathsf{x}G}{\left(gD\rho_G(\rho_L - \rho_G)\right)^{0.5}}\tag{11}
$$

Eq. (11) for the boundary of Regime III was given in Shah [\[144\].](#page-19-0) Vertical Downflow:

Regime I occurs when

$$
J_g \ge \frac{1}{2.4Z + 0.73} \tag{12}
$$

Regime III occurs when:

$$
J_g \le 0.89 - 0.93 \exp(-0.087 Z^{-1.17})
$$
\n(13)

If the Regime is not determined to be I or III by Eqs. (12) and (13), it is Regime II.

In Shah [\[146\]](#page-19-0) it was shown that in horizontal tubes, Regime I corresponds to annular flow, Regime II to intermittent and mist flow, and Regime III to stratified flow. The flow patterns were determined by the correlation of El Hajal et al. [\[60\].](#page-18-0)

Table 2 (*continued*)

Source	Geometry (aspect	D_{hyd} (D_{HP}) mm	Fluid(Glide, pr K ^{**}		GKg. $m^{-2} s^{-1}$	x	Re_{LT}	$\mathsf{We}_{\mathsf{GT}}$	Fr_{LT}	N	Deviation, %Mean AbsoluteAverage	
	ratio)#										Shah $[154]$	Present
et al. [162] H	Sung-Hoon Round tube,	5.3	R-134a	0.3234	200	0.11 0.82	7462	653	0.63	8	28.1 -28.1	36.0 36.0
			$R-1234$ yf	0.3000	100	0.10	4109	208	0.18	68	21.5	22.1
				0.3837	400	0.88	18628	3361	3.1		-6.7	-17.4
		3.7	R-134a	0.2494	100	0.13 0.89	2287	121	0.21	8	47.5 -47.5	51.9 -51.9
			$R-1234$ yf	0.3399	100	0.08	3052	145	0.27	62	34.0	40.9
				0.3837	400	0.91	13004	2346	4.4		-33.2	-40.9
Baik et al.	Round tube,	10.7	CO ₂	0.7738	500	0.19	80569	11159	4.0	40	30.4	30.4
$[21]$ Qi et al.	Н Round tube,	1.0	N_2	0.8692 0.3071	700 65	0.86 0.17	130609 985	37033 31	9.2 1.0	45	30.4 18.7	30.4 18.7
$[134]$	H				262	0.92	3941	489	16.2		-13.0	-13.0
		2.0	N_2	0.3071	13	0.22	394	\overline{c}	0.02	29	22.8	27.7
					79	0.90	2365	88	0.72		22.8	27.7
Qi et al. $[135]$	Round tube, VD	1.0	N_2	0.2340 0.3814	52 314	0.20 0.95	805 4187	19 752	0.65 213	82	22.4 -16.6	10.4 -10.4
		2.0	N_2	0.321	33	0.20	1005	15	0.13	32	41.7	11.8
					79	0.96	2415	88	0.73		41.7	-11.8
Hirose	Round tube, H	3.48	R-152a	0.1750	100 400	0.19 0.94	2391 9564	169 2701	0.38 6.1	40	14.4 10.7	9.3 3.5
et al. [72]			R-410A	0.4347	100	0.13	3365	100	0.29	31	19.1	12.9
					400	0.98	13456	1617	4.6		14.0	4.1
			$R-32$	0.3773	100	0.22	3438	105	0.35	39	22.4	16.0
Bashar	Round tube,	2.14	R-134a	0.1402	400 50	0.97 0.02	13754 515	1679 22	5.6 0.079	84	10.3 20.5	1.3 20.5
et al. [20]	Н			0.1889	300	0.96	3499	696	3.0		-15.4	-15.4
Mazumdar	Round tube,	4.33	R-407C	0.3316	307	0.04	9801	1225	1.9	15	21.2	27.6
et al. [115] H			(5.1)		403	0.97	12828	1946	3.2		-21.2	-27.6
			R-1234ze	0.2100	307 403	0.10 0.95	7946 10430	1446 2492	1.8 3.1	12	30.8 -30.8	37.4 -37.4
			$R-32$	0.4271	307	0.01	13968	1240	2.8	16	21.4	24.9
					403	0.97	18336	2137	4.8		-19.6	-24.9
Keniar and	Square tube,	0.98	R-1234ze	0.1584	100	0.22	520	39	0.79	27	30.2	30.2
Garimella [89]	H		R-134a	0.1889	300 100	0.89 0.24	2082 534	627 35	12.7 0.74	26	-30.2 30.7	-30.2 30.7
					400	0.87	2127	567	11.8		-30.7	-30.7
	Round tube,	1.55	R-1234ze	0.1584	50	0.19	412	15	0.12	31	20.8	20.8
	Н				200	0.87	1646	248	2.0		-13.9	-13.9
			R-245fa	0.0484	50 200	0.19 0.84	206 619	29 471	0.09 1.5	32	18.0 -17.8	18.0 -17.8
			R-134a	0.1889	50	0.11	442	14	0.12	47	23.3	23.3
				0.2494	150	0.87	1437	114	1.1		-22.4	-22.4
Kim et al. $[92]$	Rectang.Multi, 0.8 H		R-455A (7.6)	0.3677	380 760	0.26 0.69	2565 5348	447 1774	18 75	8	16.5 10.5	16.5 10.5
	(0.46)		R-454C	0.3705	380	0.26	2632	468	19	8	19.0	19.0
			(3.9)		760	0.69	5342	1849	75		15.9	15.9
			R-449A	0.3982	380	0.25	2570	383	17	8	16.5	16.5
			R-448A	0.4000	760 380	0.67 0.26	5171 2616	1427 367	69 17	9	13.9 21.0	13.9 21.0
					760	0.87	5255	1365	70		19.3	19.3
			R-404A	0.5463	380	0.32	3193	433	21	8	26.6	26.6
			(0.3)		760	0.71	6381	1698	84		26.6	26.6
$[78]$	Jacob et al. Round tube, H	4.7	R-134a	0.2846 0.3661	299 600	0.01 0.97	6197 2134	593 5159	0.68 6.7	68	13.8 12.4	8.3 2.1
			R-450A	0.2588	100	0.02	3141	135	0.18	103	14.8	7.4
				0.3328	550	0.95	19750	3841	6.0		14.1	0.4
Azzolin et al. $[15]$	Round tube, H	0.96	R-455A (9.5)	0.3209	200 800	0.14 0.81	1506 6381	144 2339	$\overline{4}$ 67	49	7.2 6.8	7.2 6.8
			R-452B	0.3916	200	0.16	1841	134	4.6	41	15,6	15.6
			(3.8)		800	0.87	7403	2126	74		15.5	15.5
		8.0	R-455A	0.3209	100	0.13	6276		0.14	60	8.8	8.8
			(9.5) R-452B	0.3916	600 100	0.90 0.17	39922 7671	10976 279	4.5 0.14	51	-6.3 12.4	-6.3 12.4
			(3.8)		600	0.94	46325	10031	5		-1.2	-1.2
Azzolin	Round tube, 3.4		R-134a	0.2494	50	0.14	1051	28	0.06	74	9.4	13.6
et al. $[16]$	H				200	0.91	4204	445	0.91		-6.1	-12.9
	Round tube, 3.4		R-134a	0.2494	50	0.26	1051	28	0.06	106	27.3	16.8
	VD				200	0.93	4204	445	0.91		16.2	10.9

[∗] Mean htc data. Inlet and outlet quality is listed, their arithmetic mean used.

∗∗ Glide is listed only when > 0.

Aspect ratio is listed only when it is not 1.

Shah (2016, 2019) correlations. Shah [\[147\]](#page-19-0) analyzed data for horizontal minichannels and found that heat transfer coefficients at low flow rates were higher than predicted by the Shah [\[144\]](#page-19-0) correlation. He reasoned that this may be because of surface tension forces becoming stronger than the inertia forces at low flow rates. As Weber number is the ratio of inertia and surface tension forces, the enhancement in heat transfer may be related to it. He found that it occurs when $We_{GT} < 100$. It is defined as:

$$
We_{GT} = \frac{G^2 D}{\rho_G \sigma} \tag{14}
$$

Regime I became Regime II when We_{GT} $<$ 100, thus increasing the predicted heat transfer coefficient.

Shah [\[147\]](#page-19-0) found that somewhat better accuracy is obtained if [Eq.](#page-4-0) (2) is replaced by the following equation developed by Cavallini et al. [\[38\]](#page-17-0) for their ΔT - independent regime.

$$
h_{I} = h_{LT} \left[1 + 1.128 \chi^{0.817} \left(\frac{\rho_{L}}{\rho_{G}} \right)^{0.3685} \left(\frac{\mu_{L}}{\mu_{G}} \right)^{0.2363} \left(1 - \frac{\mu_{G}}{\mu_{L}} \right)^{2.144} Pr_{L}^{-0.1} \right] \tag{15}
$$

In Shah [\[148\],](#page-19-0) data for all channel diameters in all orientations was analyzed. Regime III was found to occur only if $We_{GT} > 20$. It was found that Eq. (15) gives lower deviations only for horizontal channels with $D \leq 3$ mm. For vertical downflow in channels of all sizes and horizontal flow in channels of $D > 3$ mm, use of [Eq.](#page-4-0) (2) gave better agreement with data. Data for inclinations other than horizontal and vertical downflow were found to be in satisfactory agreement using the correction factor given in Shah (2015) to take into account the effect of inclination.

Shah [\[154\]](#page-19-0) collected additional data for horizontal and vertical downflow and compared it to the Shah [\[148\]](#page-19-0) correlation. The fol-lowing modifications were made. Shah [\[144\]](#page-19-0) correlation was to be used if Re_{LT} < 100, and for hydrocarbons if p_r < 0.4. For conditions other than those, the following modifications were made. Regimes I and III occurred in horizontal tubes only if $Fr_{LT} > 0.012$. For vertical downflow, flow regimes were determined the same way as in Shah [\[147\].](#page-19-0) The Shah [\[154\]](#page-19-0) correlation showed good agreement with data from 88 sources, significantly better than other correlations.

3. The improved shah correlation

3.1. Development

The entire database was compared to the Shah [\[154\]](#page-19-0) correlation. Examination of the output indicated that the accuracy of the correlation could be improved by making some changes as described below.

3.1.1. Vertical downflow

In the Shah [\[154\]](#page-19-0) correlation, heat transfer in vertical downflow is higher at We_{GT} \langle 100 in the same way as in horizontal flow. This was based on a few data sets. One of these showed strong effect of Weber number while for others, the deviations were within \pm 30% with or without the effect of We_{GT}. Several more data sets for vertical flow were analyzed this time. It was found that for these data sets, most data at We $_{GT}$ < 100 were over-predicted by Shah [\[154\]](#page-19-0) correlation and were in satisfactory agreement with Shah $[144]$ correlation. For some data at low Re $_{LT}$ and We_{GT}, Shah [\[144\]](#page-19-0) was found to be over-predicting. Investigation showed that Shah [\[144\]](#page-19-0) was predicting Regime II while the measured heat transfer coefficients were agreeing with the Nusselt equation which is used for Regime III in Shah correlations. This result is in agreement with what will be expected from physical reasoning. In the absence of significant shear by vapor, the liquid film will be expected to remain laminar and heat transfer will be according to the Nusselt model. For all such data points, We_{CT} was less than 100 and hence the vapor velocity was low. Study of available test data showed that when Re_{LT} < 600 and We_{GT} < 100, Regime is III. Hence the criterion in Shah [\[144\]](#page-19-0) for occurrence of Regime III, Eq. [\(12\)](#page-4-0) needs to be modified to include this criterion.

Based on the discussions in the above paragraph, it was decided to use the Shah [\[144\]](#page-19-0) correlation modified to include the additional criterion for Regime III occurrence, for vertical channels. It greatly reduced the MAD compared to Shah [\[154\].](#page-19-0)

The reason why low We_{GT} does not enhance heat transfer in vertical channels while it enhances heat transfer in horizontal ones is suggested by the flow patterns in the two orientations. Many visual studies of condensation flows have been made. A good recent study is by Azzolin et al. [\[15,16\]](#page-17-0) who made observations on the same tube in horizontal and vertical downflow. In vertical flow, annular flow with liquid layer of uniform thickness was seen over the entire range of flow rates from 50 to 200 kgm−2s−¹ and vapor qualities 0.11 to 0.89. In horizontal flow over the same range, flow patterns varied from stratified to slug to annular, the thickness of the liquid at the bottom being mostly thicker. It appears that surface tension thins out the liquid film when liquid distribution is asymmetrical as in horizontal flow but does not have effect when liquid distribution is uniform as in vertical flow.

3.1.2. Horizontal flow

Effect of froude number. In Shah [\[154\]](#page-19-0) for horizontal flow, Regimes I and III occur only if $Fr_{IT} > 0.012$, otherwise Regime II occurs in which heat transfer coefficients are higher. Analysis of all data including the new data, it was found that deviations were minimized if the transition was changed to Fr_{LT} < 0.026. Thus, the transition point has moved closer to the start of stratification at $Fr_{IT} < 0.04$ in the Shah <a>[\[140\]](#page-19-0) correlation for boiling in tubes.

Hydrocarbons. In Shah [\[154\],](#page-19-0) Weber number affects heat transfer to hydrocarbons only when $p_r > 0.4$. The only data for p_r were a few for a vertical channel from one source. As there are no data for horizontal tubes for $p_r > 0.4$, it was decided to remove this limit. In the improved correlation, heat transfer coefficients for hydrocarbons are always calculated with the Shah [\[144\]](#page-19-0) correlation, irrespective of the value of p_T , Fr_{TT} , and We_{GT}.

Transition channel diameter. In Shah $[154]$, h_I is calculated with [Eq.](#page-4-0) (2) when $D_{HYP} > 3$ mm and by Eq. [\(15\)](#page-7-0) when $D_{HYP} \leq 3$ mm. Analysis of the present enlarged database showed that deviations are minimized if the transition is at 6 mm diameter. Thus, ex-cept where Shah [\[144\]](#page-19-0) correlation is used, h_I is calculated with

[Eq.](#page-4-0) (2) when $D_{HYD} > 6$ mm and by Eq. [\(15\)](#page-7-0) when $D_{HYD} \le 6$ mm. Where Shah $[144]$ is used such as for hydrocarbons, h_I is always calculated with [Eq.](#page-4-0) (2) irrespective of the diameter size.

3.2. The final improved correlation

Based on the developments described above**,** the improved correlation is as below.

Use the modified Shah [\[144\]](#page-19-0) correlation for any of the following conditions:

1 Vertical downflow.

2 Hydrocarbons in all orientations.

3 Re $_{LT}$ < 100, any orientation.

The modified Shah [\[144\]](#page-19-0) correlation differs from the Shah [\[144\]](#page-19-0) correlation given in [Section](#page-0-0) 2 only in the criteria for Regime III in vertical flow, as stated below.

For vertical downflow, Regime III occurs if Eq. [\(13\)](#page-4-0) is satisfied or if Re_{LT} < 600 and We_{GT} < 100.

For vertical downflow, [Eq.](#page-4-0) (2) to be used to calculate h_I . Use D_{HP} in calculating Re_{LT} and h_I . Definitions of equivalent diameters are:

$$
D_{HP} = \frac{4 \times Flow \text{ area}}{Perimeter \text{ with heat transfer}}
$$
 (16)

$$
D_{\text{HYD}} = \frac{4 \times \text{Flow area}}{\text{Wetted Perimeter}} \tag{17}
$$

For horizontal channels: Regime I occurs if $We_{GT} > 100$ and $Fr_{LT} > 0.026$ and:

$$
J_g \ge 0.98(Z + 0.263)^{-0.62} \tag{18}
$$

Regime III occurs if $Fr_L > 0.026$ and:

$$
J_g \le 0.95(1.254 + 2.27Z^{1.249})^{-1}
$$
 (19)

If it is not Regime I or III, it is Regime II. In Regime I,

$$
h_{TP} = h_I \tag{20}
$$

In Regime II,
\n
$$
h_{TP} = h_l + h_{Nu}
$$
\n(21)

In Regime III:

$$
h_{TP} = h_{Nu} \tag{22}
$$

For $D_{HYD} \leq 6$ mm, calculate h_I with Eq. [\(15\).](#page-7-0)

For $D_{HYD} > 6$ mm, calculate h_I with Eq. [\(2\).](#page-4-0)

Use D_{HP} in calculating Re_{LT} and h_I . Use D_{HYD} in calculating We_{GT} and Fr_{LT.}

4. Evaluation of correlations

The improved correlation as well as a number of other correlations were compared to the database that included the data collected during the present project as well as the data analyzed in Shah [\[154\]](#page-19-0) except those of Wilson et al. [\[179\]](#page-19-0) for channels formed by flattening of round tubes. The reason for this exclusion is discussed in [Section](#page-12-0) 5.4.2.

4.1. Data collection and selection

Efforts were made to collect a wide range of data especially for new fluids and conditions not included in the database used in Shah [\[154\].](#page-19-0) Data for refrigerants containing oil were not considered as presence of oil affects heat transfer. Data for zeotropic mixtures with significant glide were not considered earlier but have been included now. The data collected during the present research are

listed in [Table](#page-5-0) 2. Those analyzed in Shah [\[154\]](#page-19-0) are listed in [Table](#page-2-0) 1. The complete range of data in the current database which includes both newly collected and previously analyzed data is listed in Table 3. A significant addition to the previous database is eight new fluids that include nitrogen; there had been no cryogenic fluid in the earlier database. Another important addition is the data for annuli; there were none before.

The data of Baik and Yun $[21]$ for carbon dioxide at p_r of 0.98 were not included. The reason is that so close to the critical point, fluid properties are greatly affected by small changes in temperature. Under such conditions, it will be more appropriate to use properties at film temperature but it was not possible to do so as test data did not provide wall temperatures.

The data of Komanditivirya et al. [\[94\]](#page-18-0) and Volrath et al. [\[171\]](#page-19-0) for ammonia were not included for reasons discussed in [Section](#page-13-0) 5.7.

4.2. Prediction methods evaluated

Only the methods which have been shown to agree with data for many fluids from many sources by the authors themselves or by others were considered. The ones that meet this criterion have been mentioned in [Section](#page-0-0) 2. Among them, some require that ΔT or heat flux be known. These are those of Dobson and Chato [\[55\],](#page-17-0) Thome et al. (2003), and Cavallini et al. [\[37\].](#page-17-0) These could not be evaluated as heat flux or ΔT is not given in most publications. The predictive methods evaluated are those of Traviss et al. [\[167\],](#page-19-0) Ananiev et al. [\[9\],](#page-17-0) Kim and Mudawar [\[93\],](#page-18-0) Hosseini et al. [\[190\],](#page-20-0) Moradkhani et al. [\[191\],](#page-20-0) Dorao and Fernandino [\[57\],](#page-18-0) Moser et al. [\[125\],](#page-19-0) Akers et al. [\[3\],](#page-17-0) Shah [\[141,144,154\]](#page-19-0). The Shah [\[147,148\]](#page-19-0) correlations were also evaluated but the results are not given or discussed as they are very close to those of Shah [\[154\]](#page-19-0) correlation. The results for Shah $[141]$ are not included in the tables as this was intended only for low pressures and high flow rates. Its performance and range of applicability is discussed in [Section](#page-16-0) 5.10.

4.3. Calculation methodology

In analyzing the data of Son and Lee $[161]$, single phase heat transfer was calculated by the following equation instead of Eq. [\(7\):](#page-4-0)

$$
h_{LS} = 0.034 \text{Re}_{LS}^{0.8} \Pr_{L}^{0.3} k_L / D \tag{23}
$$

The reason is that the single-phase heat transfer measurements of these authors were much higher than [Eq.](#page-4-0) (7) and instead agreed with this equation.

The predicted heat transfer coefficients by all correlation for zeotropic mixtures were corrected by the method of Bell and Ghaly [\[24\]](#page-17-0) as follows.

$$
\frac{1}{h_{\text{mix}}} = \frac{1}{h_c} + \frac{Y_G}{h_{GS}}\tag{24}
$$

Where,

$$
Y_G = xC_{pg} \frac{dT_{gilde}}{dT}
$$
 (25)

 h_c is the condensing heat transfer coefficient calculated with mixture properties using a correlation for pure fluids. h_{GS} is the superficial heat transfer coefficient of the vapor phase, i. e. assuming vapor phase to be flowing alone in the tube, calculated by the following equation.

$$
h_{GS} = 0.023 \left(\frac{GxD}{\mu_G}\right)^{0.8} Pr_G^{0.4} \frac{k_G}{D}
$$
 (26)

In calculations with all Shah correlations, D_{HP} was used in calculating single phase heat transfer coefficient and Reynolds number. The same was also done with other correlations except those **Table 3**

Range of test data analyzed. Changes from Shah [\[154\]](#page-19-0) are italicized.

Parameter	Data Range
Fluids	Water, R-11,
	R-12, R-22,
	R-32, R-41, R-113, R-123,
	R-125, R-134a,
	R-141b,
	R-142b,
	R-152a, R-161, R-236ea,
	R-245fa,
	R-404A.
	R-410A,
	R-448A. R-449A,
	R-450A, R-502,
	R-507, R-513A,
	R-452B, R-454C,
	R-455A, R-1234fa,
	R-1234yf,
	$R-1234ze(E)$,
	DME, butane,
	propane, carbon dioxide,
	methane,
	FC-72,
	isobutane,
	propylene, benzene,
	ethanol,
	methanol,
	toluene.
	Dowtherm 209, HFE-7000,
	HFE-7100,
	ethane,
	pentane, Novec
	649, ammonia, nitrogen (51
	fluids)
Geometry	Round, square,
	rectangle, semi-circle,
	triangle, and
	barrel shaped
	single & multi
	channels. All sides cooled or
	one side
	insulated.
	Cooled partly
	or on all sides. Annuli.
Orientation	Horizontal,
	vertical down
Aspect Ratio, width/height	0.14 to 2.0
D_{HYD} , mm	0.08 to 49.0
Reduced	0.0006 to 0.949
pressure	
G, kg m^{-2} s ⁻¹ x, %	1.1 to 1400 0.01 to 0.99
We_{CT}	0.15 to 79060
Fr_{LT} for	7.7E-6 to 4070
horizontal channels	
Glide of	0.1 to 9.5
mixtures, K	
Bond number	0.033 to 2392
Number of data sources	130 (110 Horizontal, 16
	vertical down,
	4 both)
Number of	262 (233
data sets	horizontal, 29 vertical down)

Table 4

Effect of orientation, diameter, and Weber number on deviations of various correlations from data.

of Kim and Mudawar [\[93\],](#page-18-0) Dorao and Fernandino [\[57\],](#page-18-0) Hosseini et al. [\[190\],](#page-20-0) and Moradkhani et al. [\[191\].](#page-20-0) For these correlations, D_{HYD} was used as the diameter in all calculations because that was specified by these authors.

Where the authors reported mean heat transfer coefficient data, they were analyzed using the arithmetic mean quality.

Properties of HFE-7100, and FC-72 were obtained from their manufacturer 3-M Corporation. Properties of Dowtherm 209 were taken from Blangetti and Schlunder [\[27\].](#page-17-0) Surface tension of HFE-7000 was taken from Vins et al. [\[170\].](#page-19-0) All other properties were obtained from REFPROP 9.1, Lemmon et al. [\[105\].](#page-18-0) All properties used were at saturation temperature.

4.4. Results of data analysis

[Table](#page-5-0) 2 lists the deviations of the Shah [\[154\]](#page-19-0) and the present correlation with the new data collected during the present research. The predictions of all correlation for zeotropic mixtures have been corrected by the Bell and Ghaly [\[24\]](#page-17-0) method. The deviations listed in it are defined as:

Mean absolute deviation (MAD) is defined as:

$$
MAD = \frac{1}{N} \sum_{1}^{N} ABS \{ \left(h_{predicted} - h_{measured} \right) / h_{measured} \}
$$
 (27)

Average deviation (AD) is defined as*:*

$$
AD = \frac{1}{N} \sum_{1}^{N} \left\{ \left(h_{\text{predicted}} - h_{\text{measured}} \right) / h_{\text{measured}} \right\} \tag{28}
$$

It is seen that the MAD of the present correlation is 17.3% com-pared to 19.5% for the Shah [\[154\]](#page-19-0) correlation. In Table 4, the deviations of the various correlations for the entire database are listed. The MAD of the present correlation for all 8298 data points from 130 sources is seen to be 17.9% which is significantly lower than of the other correlations. The Shah [\[154,144\]](#page-19-0) have MAD of 18.6% and 20.2%, respectively. The next best is Moradkhani et al. [\[191\]](#page-20-0) with a MAD of 20.9%. MAD of the correlations of other authors range from 26.1% to 97.8%. The highest MAD are of the correlations of Traviss et al. and Akers et al., 97.8% and 62.6 %, respectively.

From the foregoing, it is clear that the new correlation is the most accurate over the entire range of data. The range of applicability of the other correlations can be inferred from the results in Table 4 and is further discussed in Section 5. It is clear from the results in [Tables](#page-5-0) 2 and 4 that the correlations of Moser et al., Traviss et al., and Akers et al. have large deviations with most data and thus are unreliable. These are therefore excluded from further discussions except where specifically mentioned.

5. Discussion

5.1. Effect of orientation

Table 4 lists the deviations of all correlations for different channels sizes, orientations, and Weber numbers.

For all data for horizontal channels, the MAD of the present correlation is 17.8% which is better than 18.3% of the Shah [\[154\]](#page-19-0) correlation. The MAD of the correlations of other authors varies from 20.2% of the Moradkhani et al. [\[191\]](#page-20-0) correlation to 104% of the Traviss et al. analytical correlation.

For all data for vertical channels, the MAD of present correlation is 17.4% while that of Shah [\[154\]](#page-19-0) correlation is 21.4%, a considerable improvement. The MAD of the correlations of other authors ranges from 27.2% to 71.3%. This indicates that for vertical flow, the present correlation is by far the best choice. Among the correlations of other authors, the only one which makes reason-ably accurate predictions is that of Moradkhani et al. [\[191\]](#page-20-0) which has MAD of 27.2%.

[Fig.](#page-11-0) 1 compares the data of Qi et al. [\[135\]](#page-19-0) for nitrogen in a vertical tube with several correlations. The predictions of the present

Fig. 1. Comparison of the data of Qi et al. [\[135\]](#page-19-0) for nitrogen in vertical downflow with the present and other correlations. D = 2 mm, T_{SAT} = -167.9 °C, $G = 52.4 \text{ kgm}^{-2} \text{s}^{-1}$, We_{GT} = 39.

Fig. 2. Comparison of the present and other correlations with the data of Az-zolin et al. [\[16\]](#page-17-0) for R-134a in a vertical tube 3.4 mm diameter. $T_{SAT} = 40$ °C, G =75 kgm⁻²K⁻¹, We_{Gt} = 63. Note the improvement compared to Shah [\[154\].](#page-19-0)

correlation are close to the measured heat transfer coefficients while Shah [\[154\]](#page-19-0) predicts much higher.

Fig. 2 shows the comparison of the data of Azzolin et al. [\[16\]](#page-17-0) in a vertical tube with several correlations. The present correlation shows close agreement with data while Shah [\[154\]](#page-19-0) predicts much higher. Other correlations also show large deviations. This improvement is due to the change in the criterion for occurrence of Regime III as discussed in [Section](#page-8-0) 3.1.1.

5.2. Effect of weber number

As was discussed in [Section](#page-8-0) 3.1.1, present research led to the conclusion that in vertical downflow, We_{GT} has no effect to heat transfer except when We _{GT} < 100 together with Re _{LT} < 600. Heat

Fig. 3. Comparison of the data of Meyer and Ewim [\[120\]](#page-19-0) with pthe present and other correlations. R-134a, D = 8.34 mm, T_{SAT} = 40 °C, G = 50 kgm⁻²s⁻¹, Fr_{LT} = 0.023, We_{GT} = 68. Note the improvement of the present correlation over Shah [\[154\]](#page-19-0) resulting from the change in transition Fr_{TT} .

transfer coefficient is then lower than prediction of Shah [\[154\]](#page-19-0) correlation. This indicates change in heat transfer regime from II to III.

Low We_{GT} has a profound effect on heat transfer during hori-zontal flow as seen in [Table](#page-10-0) 4. For $D_{HYD} \leq 3$ mm, it is seen that all correlations other than the present and Shah [\[154\]](#page-19-0) greatly underpredict the data when We_{GT} \lt 100, their average deviation ranging from -19 to -40.8% while their MAD ranges from 28.5% to 43%. When We_{GT} > 100 , the deviations of all those correlations greatly improve. For example, the MAD of the Dorao and Fernadino correlation decreases from 43% to 19.8% and that of Hosseini et al. from 40.2 to 22.3%. The correlation of Kim and Mudawar was developed specifically for minichannels but the results for it are similar.

Similar results are also with data for $D_{HYD} > 3$ mm. The AD of Dorao and Fernandino correlation is -61.6% when $We_{GT} < 100$ and -15.45 when $We_{GT} > 100$; the corresponding MAD are 61.6% and 23.3%. The MAD of Kim and Mudawar is 65% and 26.2%, respectively for We_{GT} $<$ 100 and We_{GT} $>$ 100. Fig. 3 shows data for a tube of 8.3 mm diameter with We_{GT} = 69. The present correlation gives good agreement with data due to the effect of low Weber number while other correlations greatly underpredict.

5.3. Boundary between mini and macro channels

Most researchers consider the boundary of minichannels to start when surface tension begins to affect heat transfer and therefore correlations based on macro (conventional) channel data begin to fail. It is evident from the discussions in Section 5.2 that the commonly used criterion of $D \leq 3$ mm does not correspond to the start of the effect of surface tension. For horizontal channels, this boundary is at We_{GT} \langle 100. For vertical channels, the present data analysis indicates that the macrochannel correlation of Shah [\[144\]](#page-19-0) gives satisfactory agreement with data for $D < 3$ mm even when We_{GT} ϵ 100. The smallest diameter in the data analyzed for vertical channels when $We_{GT} < 100$ is 1 mm.

Table 5

Deviations of the more accurate correlations for different shapes and types of channels.

5.4. Impact of channel shape

5.4.1. Channels with sharp corners

Wang and Rose [\[175,176\]](#page-19-0) performed mechanistic analyzes assuming laminar flow of condensate. They concluded that heat transfer in square and rectangular channels is higher than in round tubes because of the thinning of condensate film caused by surface tension pushing liquid into the corners. Table 5 shows the results of the present data analysis for circular and non-circular channels. The non-circular channels' shapes included square, rectangular, triangular, etc. all of them have sharp corners. The average deviation of the present correlation with data for round channels is 0.5% while that for non-circular channels is -3.7%. The deviations of the Kim and Mudawar correlation are -14.9% and -12% for round and non-circular channels, respectively. Deviations of other correlations are also similar. These results do not show that channels with sharp corners have higher heat transfer than the round channels which have no sharp corners. The theory of Wang and Rose had assumed laminar liquid film. Many experimental and theoretical studies have shown that vapor shear caused condensate film to become turbulent at very low Reynolds numbers. For example, Carpenter and Colburn [\[31\]](#page-17-0) found during their tests on condensation in a tube that the liquid film turned turbulent at a liquid Reynold number of 240. Rohsenow et al. [\[138\]](#page-19-0) analyzed condensation on a vertical plate. The transition liquid Reynolds number was found to decrease to as low as 50 with increasing vapor shear. Kim and Mudawar [\[90\]](#page-18-0) analyzed condensation in a rectangular channel. Transition to turbulence was found at liquid Reynolds number of 25. Shah [\[152,153\]](#page-19-0) analyzed data for heat transfer to gas-liquid flow in tubes. Transition to turbulent film was found to be at Re_{LS} of 170-175. It is thus clear that liquid layer is laminar only over a very short length of channel and hence the theory of Wang and Rose is inapplicable over most of the tube length.

Fig. 4 compares the present and other correlations with data for a rectangular channel. Good agreement of the present correlation with data is seen.

5.4.2. Channel aspect ratio

Fig. 5 shows the comparison of the present correlation with data from non-circular channels other than those for flattened tubes. The three data points with MAD of over 70% are from Garimella et al. [\[66\].](#page-18-0) These are very high compared to all correlations and hence are very unusual. Their deviation is the same at all three aspect ratios. The other data are for aspect ratios 0.14 to 2. The deviations of almost all are low and no effect of aspect ratio is apparent.

Note that the data of Wilson et al. [\[179\]](#page-19-0) for flattened tubes that was analyzed in Shah [\[154\]](#page-19-0) was not included in the present database. This was because Shah [\[155\]](#page-19-0) had found that while these

Fig. 4. Comparison of present and other correlations with the data of lige et al. [\[82\]](#page-18-0) for R-32 in a horizontal multichannel with rectangular channels of aspect ratio 0.69. $D_{HYD} = D_{HP} = 0.85$ mm. T_{SAT} = 60 °C, G = 100 kgm⁻²s⁻¹.

Fig. 5. Effect of channel aspect ratio on MAD of the present correlation with data sets for non-circular channels.

Fig. 6. Data of Son & Lee for R-134a in a horizontal tube compared with various correlations. D = 5.8 mm. T_{SAT} = 40 °C, G = 42 kgm⁻²s⁻¹, We_{GT} = 34, Fr_{LT} = 0.0237. Note the improvement of the present correlation over the Shah [\[154\]](#page-19-0) correlation.

data for AR up to 14 were in satisfactory agreement with the Shah [\[154\]](#page-19-0) correlation, some of the data for flattened tubes from other sources were giving large deviations. On the other hand, data for channels other than flattened tubes were giving good agreement. It was therefore decided not to include the data for flattened tubes in the present analysis. Channels made from flattened tubes will be investigated when more data becomes available.

5.5. Effect of froude number

In Shah [\[154\]](#page-19-0) for horizontal flow, Regimes I and III occur only if $Fr_{IT} > 0.012$, otherwise Regime II occurs. In the present correlation, this limit is set at $Fr_{IT} > 0.026$. An example of the improvement due to this change is seen in Fig. 6 . The present correlation is in good agreement with data while other correlations, including Shah [\[154\],](#page-19-0) give large deviations.

5.6. Regime III boundary in vertical downflow

As was described in [Section](#page-8-0) 3.1.1, present research showed that besides the condition in Shah [\[144\]](#page-19-0) correlation for the occurrence of Regime III, it also occurs in vertical downflow if $Re_{IT} < 600$ and $We_{GT} < 100$. The liquid film remains laminar at such low liquid Reynolds number and low vapor shear, thus meeting the conditions assumed by Nusselt in his analysis which led to Eq. [\(3\).](#page-4-0) This modification resulted in improved agreement with several data sets. For the 43 data points affected by this change, the MAD of the present correlation is 12.3% while that of Shah [\[154\]](#page-19-0) is 23.6%. The MAD of correlations of others range from 55% to 117%. The improvement is illustrated in Fig. 7 which shows the data of Kurita et al. [\[99\]](#page-18-0) for FC-72.

5.7. Applicability to various fluid types

[Table](#page-14-0) 6 lists the deviations of the present and other correlations with different types of fluids. These results are discussed in the following.

It is seen that the data for water are from fourteen sources and the present correlation is in good agreement with MAD of 15.9%. Correlations by others all have large deviations ranging from 35% to 65%. An example is seen in Fig. 8 which shows the data of Al-Shammari et al. [\[6\]](#page-17-0) in a vertical tube. [Figs.](#page-14-0) 9 and [10](#page-14-0) show, respectively the data of Caruso et al. [\[32\]](#page-17-0) and Wang and Du [\[172\]](#page-19-0) for water in horizontal tubes. Excellent agreement is seen with the

Fig. 7. Mean heat transfer coefficient data of Kurita et al <a>[\[99\]](#page-18-0) for FC-72 in a vertical tube compared to the present and other correlations. Note the improvement of the present correlation over the Shah (2019) correlation.

Fig. 8. Comparison of the present and some other correlations with the data of Al-Shammari et al. [\[6\].](#page-17-0) Water flowing down in a vertical tube 26.2 mm diameter, $G = 3 \text{ kgm}^{-2} \text{K}^{-1}$, T_{SAT} = 56.5 °C, We_{GT} = 34.

present correlation while all others show large deviations from data. It indicates that the present correlation is the only one which can be relied upon for water.

All correlations show fairly good agreement with data for carbon dioxide. Best agreement is with the present correlation which has MAD of 21.9% while the Kim and Mudawar correlation has MAD of 31.2%

For the halocarbon refrigerants, the present correlation has the least MAD at 17.5%. The next best correlation is that of Moradkhani et al. with MAD of 20.6%. Most of the correlations give fairly good agreement with halocarbon refrigerant data.

Table 6

Deviation of Correlations with data for various types of fluids.

Fig. 9. Mean heat transfer data of Caruso et al. [\[32\]](#page-17-0) for water condensing in a horizontal tube compared to the present and other correlations. $D = 22$ mm, $T_{SAT} = 100$ \circ C

Fig. 10. Comparison of various correlations with water data of Wang and Du [\[172\]](#page-19-0) in a horizontal tube. D = 2.8 mm, T_{SAT} = 105 °C, G = 65 kgm⁻²s⁻¹.

Fig. 11. Comparison of the present and other correlations with the data of Garimella et al. [\[67\]](#page-18-0) for pentane in a horizontal tube. $D = 14.4$ mm, $T_{SAT} = 74.9$ °C, G = 450 kgm⁻²K⁻¹.

All correlations are in fairly good agreement with data for hydrocarbons. The MAD of the present correlation is 17.2% while that of Hosseini et al. correlation is the least at 15.5%. Fig. 11 shows the comparison of the present and a couple of other correlations with the data of Garimella et al. [\[67\]](#page-18-0) for pentane in a horizontal tube.

The agreement of the present correlation with heat transfer fluids (HFE's, FC-72, Dowtherm) is considerably better than the correlations of others. This is seen in [Fig.](#page-13-0) 7 for FC-72, [Fig.](#page-15-0) 12 for Dowtherm, and [Fig.](#page-15-0) 13 for HFE-7100. For these fluids, the MAD of the present correlation is 22.5% while that of others is up to 36.3%.

The only data for ammonia that have been included in the results of the present data analysis are those of Fronk and Garimella [\[65\]](#page-18-0) in horizontal tubes of diameter 0.98, 1.44, and 2.16 mm. The MAD of the present correlation is 34.2% and AD is -24.2%. Other correlations also predict low, AD being from -16.2% to -54.1%. According to the authors, the maximum uncertainty in the reported heat transfer coefficients is \pm 36%. If the reported heat transfer coefficients are reduced by 25%, all correlations will be in adequate agreement with them. [Fig.](#page-15-0) 14 compares data from one of the runs with the present and two other correlations which have performed well with other fluids. Good agreement is seen.

Data from two other experimental studies for ammonia were examined. These are Volrath et al. [\[171\]](#page-19-0) and Komandiwirya et al.

Fig. 12. Comparison of the data of Blangetti and Schulnder [\[26,27\]](#page-17-0) for Dowtherm 209 in a vertical tube with the present and other correlations. $D = 30$ mm, $T_{SAT} = 97.5 °C$.

Fig. 13. Comparison of the data of Al-Zaidi et al. [\[8\]](#page-17-0) for HFE-7100 in a rectangular multichannel with correlations. $D_{HYD} = 0.57$ mm, $D_{HP} = 0.67$ mm, $T_{SAT} = 60$ °C, $G = 126$ kgm⁻²s⁻¹.

[\[94\].](#page-18-0) These were done on the same test facility and two of the authors are common to both reports. Hence these should be regarded as a single source. Data from both studies are very low compared to several correlations, for example the well-verified correlation of Cavallini et al. [\[37\]](#page-17-0) predicts 2 to 3 times the reported heat transfer coefficients.

It may be noted that the data for saturated and subcooled boiling of ammonia in tubes are in excellent agreement with Shah [\[149,150\]](#page-19-0) general correlations, respectively, which agree well with data for many fluids over very wide ranges. The mechanism of heat transfer during condensation is similar to that during evaporation without bubble nucleation. Therefore, one will not expect heat transfer of condensing ammonia to be different from that of other fluids.

Fig. 14. Data of Fronk and Garimella [\[65\]](#page-18-0) for ammonia in a horizontal tube compared to present and other correlations. D = 1.44 mm, T_{SAT} = 40 °C, $G = 75$ kgm⁻²s⁻¹.

Fig. 15. Comparison of data of Azzolin et al. (2019) for R-455A with the present correlation without correction and with Bell-Ghaly correction for mass transfer effect. D = 0.96 mm, G = 600 kgm⁻²s⁻¹, T_{SAT} = 40 °C, glide = 9.5 K.

In view of the above discussions, the author feels that the present correlation is suitable for application to ammonia. Further comparison for new data, when available, is needed for confirmation.

5.8. Zeotropic mixtures

The present data analysis included many zeotropic mixtures with glide from 0.1 to 9.5 K. The predictions of all correlations were corrected for mass transfer effects using the Bell and Ghaly [\[24\]](#page-17-0) method. Fig. 15 shows the effect of this correction on data from a run for R-455A with a glide of 9.5 K. It is seen that this correction brings the predictions of the present correlation in good agreement with the measurements. Similar results were obtained with data for other zeotropic mixtures.

Shah et al. [\[145\]](#page-19-0) compared an extensive database for condensation of mixtures in tubes with the Shah [\[143\]](#page-19-0) correlation together with correction factors by Bell and Ghaly [\[24\],](#page-17-0) McNaught [\[117\]](#page-19-0) and Del Col et al. [\[42\].](#page-17-0) The glide in the data was up to 35.5 K. The MAD using Bell and Ghaly and McNaught methods was about the same. The McNaught method always predicts lower than the Bell-Ghaly method as it assumes an extra resistance due to mass trans-

Fig. 16. Comparison of present and other correlations with the mean heat transfer coefficient data of Li et al. [\[109\]](#page-18-0) in a horizontal annulus. R-410A, $T_{SAT} = 45$ °C.

fer. MAD using the Del Col et al. factor was higher than with the other two methods.

The Bell and Ghaly method has been found to perform well by many other researchers also. It is therefore recommended.

5.9. Annuli

The data analyzed in Shah [\[154\]](#page-19-0) did not include any for annuli. Data for annuli from two sources were analyzed during the present research. These are the data of Li et al. [\[109\]](#page-18-0) for R-410A and those of Borchmann [\[28\]](#page-17-0) for R-11, both for horizontal annuli.

As seen in [Table](#page-2-0) 1, the data of Li et al. are in good agreement with the present correlation, the MAD being 10.1%. This close agreement is also seen in Fig. 16 . The data of Borchmann [\[28\]](#page-17-0) have average deviation of -30% and MAD of 30%. These comparatively high deviations are due to heat transfer coefficients being very high near the entrance.

Cavallini et al. [\[34\]](#page-17-0) condensed R-11 and R-113 in a vertical annulus 38.5 mm OD, 24 mm ID. They report that their data was overpredicted by 20 to 25 % by the Shah [\[141\]](#page-19-0) correlation. They performed the calculation using D_{HYD} which is 14.5 mm. D_{HP} is 37.8 mm. According to [Eq.](#page-4-0) (7) which is used to calculate single phase heat transfer coefficient, $h_{LS} \propto D^{-0.2}$. Thus if D_{HP} is used instead of D_{HYD} , heat transfer coefficient will be multiplied by $(14.5/37.8)^{0.2} = 0.82$. This will bring their data in close agreement with the Shah [\[141\]](#page-19-0) correlation. As the mass flux is high and pressure low in these data, the predictions of Shah [\[141\]](#page-19-0) are about the same as those of Shah [\[144\]](#page-19-0) and hence the present correlation.

The good agreement with the Cavallini et al. data obtained by using D_{HP} confirms that D_{HP} is the correct choice for calculating single phase heat transfer coefficient.

5.10. Applicability range of Shah [\[141\]](#page-19-0) correlation

Even though improved versions of the Shah correlation have been published, the Shah [\[141\]](#page-19-0) correlation continues to be widely used in analyzes such as refrigeration systems, heat recovery cycles, and bottoming cycles for power plants. This is probably because of its simplicity and resulting ease of use. To avoid errors due to its use beyond its accurate range, it is desirable to investigate the range in which it could be used with reasonable accuracy.

For all data analyzed, its MAD was found to be 32.9%. The reduced pressure in the data analyzed in Shah [\[141\]](#page-19-0) was up to 0.44. In Shah [\[142\],](#page-19-0) the following range of applicability was tentatively suggested; Re_{LT} > 1800, Re_{CT} > 35000, and u_{CT} > 3 ms⁻¹. MAD was calculated for the present database using each of these criteria together with $p_r < 0.4$. The least MAD was with $Re_{GT} < 35000$ at 21.7%. With $We_{GT} > 100$, MAD was 21.5%. For Regime I of the present correlation together with $p_r < 0.4$, MAD was 20.3%. In any of these conditions, the MAD of the present correlation was around 17%.

Those who use the Shah [\[141\]](#page-19-0) correlation do so for simplicity. Hence a simple criterion is desirable for them. In view of it, it is recommended to use it only for $p_r < 0.4$ and We_{GT} >100.

5.11. Recommended range of correlations

As discussed in [Section](#page-11-0) 5.2, all correlations other than the present and Shah [\[154\]](#page-19-0) have large deviations with horizontal tube data when We_{GT} < 100, for both mini and macro channels. For $We_{GT} > 100$, Moradkhani et al. correlation has the lowest MAD. However, it gives unsatisfactory agreement with data for water and heat transfer fluids.

In view of the above, the recommendation for horizontal tubes is to use only the present correlation when $We_{GT} < 100$. The Moradkhani et al. correlation is the best alternative when We_{ct} 100 but it should not be used for water and heat transfer fluids (HFE's, FC-72, Dowtherm).

For vertical channels, the MAD of the present correlation is 17.4% while those of other authors are 27.2% to 34.7%. Hence only the present correlation is recommended for vertical tubes.

6. Conclusions

- 1 A correlation has been presented for condensation in mini and macro channels with horizontal or vertical downflow. It is an improved version of the author's earlier correlation, giving better accuracy.
- 2 The improved correlation was verified with a database that includes 51 fluids (water, refrigerants, chemicals, cryogens), diameters 0.08 to 49.0 mm, reduced pressures 0.0006 to 0.949, mass flux from 1.1 to 1400 kgm−2s−1, various shapes (round, rectangular, triangular, etc.), single and multi-channels, annuli, horizontal and vertical downflow. The improved correlation predicts 8298 data points from 130 sources with mean absolute deviation (MAD) of 17.9 %.
- 3 The same database was also compared to many other correlations. Their deviations were considerably higher, especially for horizontal channels at We_{GT} $<$ 100, the range in which surface tension effects prevail.
- 4 Further data analysis is required for ammonia, for hydrocarbons at low We $_{CT}$, and for annuli to validate and improve this correlation.
- 5 A simple criteria has been given for determining the reasonably accurate range of the Shah [\[141\]](#page-19-0) correlation which is widely used in analyses such as refrigeration systems, heat recovery, and bottoming cycles.

Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

CRediT authorship contribution statement

Mirza M. Shah: Writing – review & editing, Writing – original draft.

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